

# Unit I

## Chapter 1: Introduction

### Overview:

- The OSI (open systems interconnection) security architecture provides a systematic framework for defining security attacks, mechanisms, and services.
- [Security attacks](#) are classified as either passive attacks, which include unauthorized reading of a message or file and traffic analysis; and active attacks, such as modification of messages or files, and denial of service.
- A [security mechanism](#) is any process (or a device incorporating such a process) that is designed to detect, prevent, or recover from a security attack. Examples of mechanisms are encryption algorithms, digital signatures, and authentication protocols.
- [Security services](#) include authentication, access control, data confidentiality, data integrity, nonrepudiation, and availability.

The requirements of information security within an organization have undergone two major changes in the last several decades. Before the widespread use of data processing equipment, the security of information felt to be valuable to an organization was provided primarily by physical and administrative means. An example of the former is the use of rugged filing cabinets with a combination lock for storing sensitive documents. An example of the latter is personnel screening procedures used during the hiring process.

With the introduction of the computer, the need for automated tools for protecting files and other information stored on the computer became evident. This is especially the case for a shared system, such as a time-sharing system, and the need is even more acute for systems that can be accessed over a public telephone network, data network, or the Internet. The generic name for the collection of tools designed to protect data and to thwart hackers is computer security.

The second major change that affected security is the introduction of distributed systems and the use of networks and communications facilities for carrying data between terminal user and computer and between computer and computer. Network security measures are needed to protect data during their transmission. In fact, the term network security is somewhat misleading, because virtually all business, government, and academic organizations interconnect their data processing equipment with a collection of interconnected networks.

consider the following examples of security violations:

1. User A transmits a file to user B. The file contains sensitive information (e.g., payroll records) that is to be protected from disclosure. User C, who is not authorized to read the file, is able to monitor the transmission and capture a copy of the file during its transmission.
2. A network manager, D, transmits a message to a computer, E, under its management. The message instructs computer E to update an authorization file to include the identities of a number of new users who are to be given access to that computer. User F intercepts the message, alters its contents to add or delete entries, and then forwards the message to E, which accepts the message as coming from manager D and updates its authorization file accordingly.
3. Rather than intercept a message, user F constructs its own message with the desired entries and transmits that message to E as if it had come from manager D. Computer E accepts the message as coming from manager D and updates its authorization file accordingly.
4. An employee is fired without warning. The personnel manager sends a message to a server system to invalidate the employee's account. When the invalidation is accomplished, the server is to post a notice to the employee's file as confirmation of the action. The employee is able to intercept the message and delay it long enough to make a final access to the server to retrieve sensitive information. The message is then forwarded, the action taken, and the confirmation posted. The employee's action may go unnoticed for some considerable time.
5. A message is sent from a customer to a stockbroker with instructions for various transactions. Subsequently, the investments lose value and the customer denies sending the message.

Although this list by no means exhausts the possible types of security violations, it illustrates the range of concerns of network security.

Internetwork security is both fascinating and complex. Some of the reasons follow:

1. Security involving communications and networks is not as simple as it might first appear to the novice. The requirements seem to be straightforward; indeed, most of the major requirements for security services can be given self-explanatory one-word labels: confidentiality, authentication, nonrepudiation, integrity. But the mechanisms used to meet those requirements can be quite complex, and understanding them may involve rather subtle reasoning.
2. In developing a particular security mechanism or algorithm, one must always consider potential attacks on those security features. In many cases, successful attacks are designed by looking at the problem in a completely different way, therefore exploiting an unexpected weakness in the mechanism.

3. Because of point 2, the procedures used to provide particular services are often counterintuitive: It is not obvious from the statement of a particular requirement that such elaborate measures are needed. It is only when the various countermeasures are considered that the measures used make sense.
4. Having designed various security mechanisms, it is necessary to decide where to use them. This is true both in terms of physical placement (e.g., at what points in a network are certain security mechanisms needed) and in a logical sense [e.g., at what layer or layers of an architecture such as TCP/IP (Transmission Control Protocol/Internet Protocol) should mechanisms be placed].
5. Security mechanisms usually involve more than a particular algorithm or protocol. They usually also require that participants be in possession of some secret information (e.g., an encryption key), which raises questions about the creation, distribution, and protection of that secret information. There is also a reliance on communications protocols whose behavior may complicate the task of developing the security mechanism. For example, if the proper functioning of the security mechanism requires setting time limits on the transit time of a message from sender to receiver, then any protocol or network that introduces variable, unpredictable delays may render such time limits meaningless.

Thus, there is much to consider. This chapter provides a general overview of the subject matter that structures the material in the remainder of the book. We begin with a general discussion of network security services and mechanisms and of the types of attacks they are designed for. Then we develop a general overall model within which the security services and mechanisms can be viewed.

### **1.1. Security Trends**

In 1994, the Internet Architecture Board (IAB) issued a report entitled "Security in the Internet Architecture" (RFC 1636). The report stated the general consensus that the Internet needs more and better security, and it identified key areas for security mechanisms. Among these were the need to secure the network infrastructure from unauthorized monitoring and control of network traffic and the need to secure end-user-to-end-user traffic using authentication and encryption mechanisms.

### **1.2. The OSI Security Architecture**

To assess effectively the security needs of an organization and to evaluate and choose various security products and policies, the manager responsible for security needs some systematic way of defining the requirements for security and characterizing the approaches to satisfying those requirements. This is difficult enough in a centralized data processing environment; with the use of local and wide area networks, the problems are compounded.

For our purposes, the OSI security architecture provides a useful, if abstract, overview of many of the concepts that this book deals with. The OSI security architecture focuses on security attacks, mechanisms, and services. These can be defined briefly as follows:

- Security attack: Any action that compromises the security of information owned by an organization.
- Security mechanism: A process (or a device incorporating such a process) that is designed to detect, prevent, or recover from a security attack.
- Security service: A processing or communication service that enhances the security of the data processing systems and the information transfers of an organization. The services are intended to counter security attacks, and they make use of one or more security mechanisms to provide the service.

Threat
A potential for violation of security, which exists when there is a circumstance, capability, action, or event that could breach security and cause harm. That is, a threat is a possible danger that might exploit a vulnerability.
Attack
An assault on system security that derives from an intelligent threat; that is, an intelligent act that is a deliberate attempt (especially in the sense of a method or technique) to evade security services and violate the security policy of a system.

### 1.3. Security Attacks

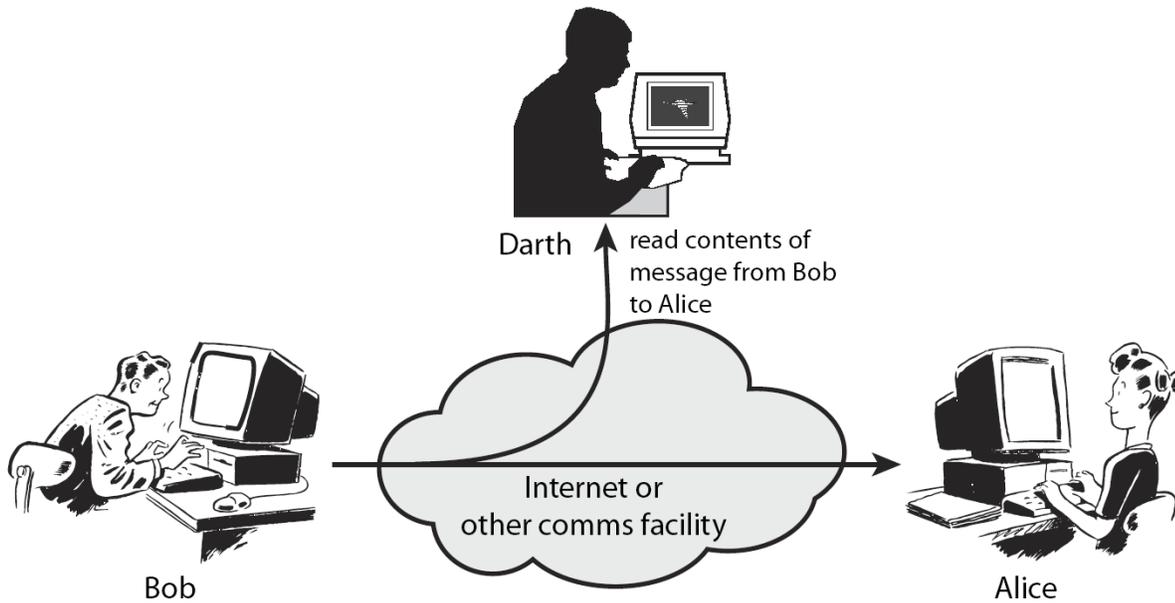
A useful means of classifying security attacks, used both in X.800 and RFC 2828, is in terms of passive attacks and active attacks. A passive attack attempts to learn or make use of information from the system but does not affect system resources. An active attack attempts to alter system resources or affect their operation.

#### Passive Attacks

Passive attacks are in the nature of eavesdropping on, or monitoring of, transmissions. The goal of the opponent is to obtain information that is being transmitted. Two types of passive attacks are release of message contents and traffic analysis.

The release of message contents is easily understood (Figure 1.3). A telephone conversation, an electronic mail message, and a transferred file may contain sensitive or confidential information. We would like to prevent an opponent from learning the contents of these transmissions.

Figure 1.3. Passive Attacks



A second type of passive attack, **traffic analysis**, is subtler (Figure 1.3). Suppose that we had a way of masking the contents of messages or other information traffic so that opponents, even if they captured the message, could not extract the information from the message. The common technique for masking contents is encryption. If we had encryption protection in place, an opponent might still be able to observe the pattern of these messages. The opponent could determine the location and identity of communicating hosts and could observe the frequency and length of messages being exchanged. This information might be useful in guessing the nature of the communication that was taking place.

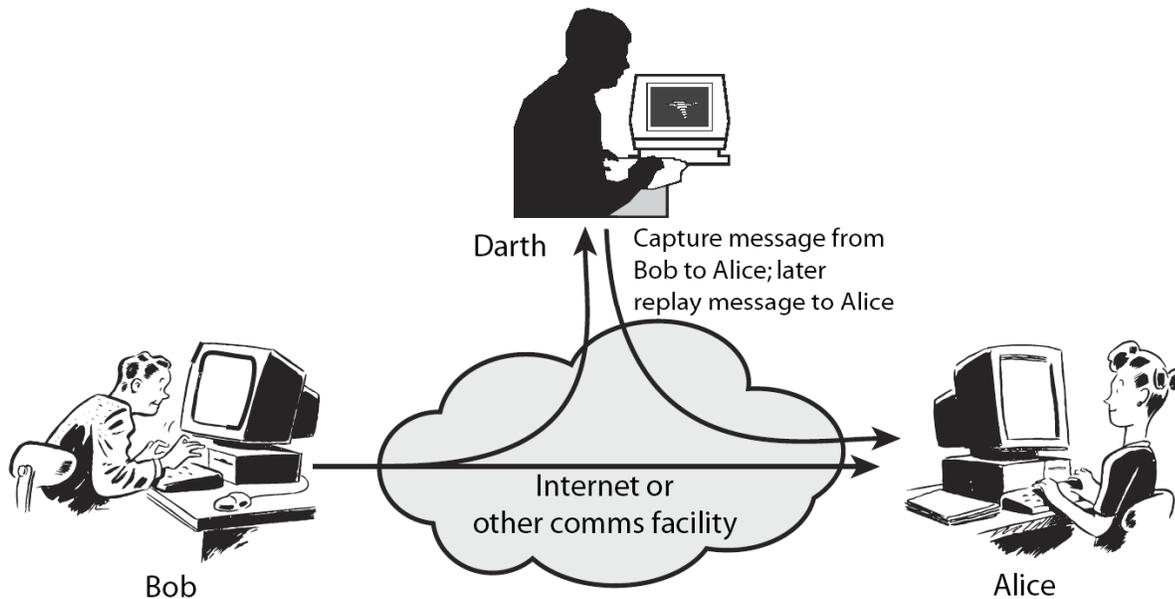
Passive attacks are very difficult to detect because they do not involve any alteration of the data. Typically, the message traffic is sent and received in an apparently normal fashion and neither the sender nor receiver is aware that a third party has read the messages or observed the traffic pattern. However, it is feasible to prevent the success of these attacks, usually by means of encryption. Thus, the emphasis in dealing with passive attacks is on prevention rather than detection.

### Active Attacks

Active attacks involve some modification of the data stream or the creation of a false stream and can be subdivided into four categories: masquerade, replay, modification of messages, and denial of service.

A **masquerade** takes place when one entity pretends to be a different entity (Figure 1.4). A masquerade attack usually includes one of the other forms of active attack. For example, authentication sequences can be captured and replayed after a valid authentication sequence has taken place, thus enabling an authorized entity with few privileges to obtain extra privileges by impersonating an entity that has those privileges.

Figure 1.4. Active Attacks



Modification of messages simply means that some portion of a legitimate message is altered, or that messages are delayed or reordered, to produce an unauthorized effect. For example, a message meaning "Allow John Smith to read confidential file accounts" is modified to mean "Allow Fred Brown to read confidential file accounts."

The **denial of service** prevents or inhibits the normal use or management of communications facilities. This attack may have a specific target; for example, an entity may suppress all messages directed to a particular destination (e.g., the security audit service). Another form of service denial is the disruption of an entire network, either by disabling the network or by overloading it with messages so as to degrade performance.

#### 1.4. Security Services

X.800 defines a security service as a service provided by a protocol layer of communicating open systems, which ensures adequate security of the systems or of data transfers. Perhaps a clearer definition is found in RFC 2828, which provides the following definition: a processing or communication service that is provided

by a system to give a specific kind of protection to system resources; security services implement security policies and are implemented by security mechanisms.

## **AUTHENTICATION**

The assurance that the communicating entity is the one that it claims to be.

Peer Entity Authentication : Used in association with a logical connection to provide confidence in the identity of the entities connected.

Data Origin Authentication : In a connectionless transfer, provides assurance that the source of received data is as claimed.

## **ACCESS CONTROL**

The prevention of unauthorized use of a resource (i.e., this service controls who can have access to a resource, under what conditions access can occur, and what those accessing the resource are allowed to do).

## **DATA CONFIDENTIALITY**

The protection of data from unauthorized disclosure.

Connection Confidentiality : The protection of all user data on a connection.

Connectionless Confidentiality: The protection of all user data in a single data block

Selective-Field Confidentiality: The confidentiality of selected fields within the user data on a connection or in a single data block.

Traffic Flow Confidentiality : The protection of the information that might be derived from observation of traffic flows.

## **DATA INTEGRITY**

The assurance that data received are exactly as sent by an authorized entity (i.e., contain no modification, insertion, deletion, or replay).

Connection Integrity with Recovery : Provides for the integrity of all user data on a connection and detects any modification, insertion, deletion, or replay of any data within an entire data sequence, with recovery attempted.

Connection Integrity without Recovery: As above, but provides only detection without recovery.

Selective-Field Connection Integrity: Provides for the integrity of selected fields within the user data of a data block transferred over a connection and takes the form of determination of whether the selected fields have been modified, inserted, deleted, or replayed.

Connectionless Integrity: Provides for the integrity of a single connectionless data block and may take the form of detection of data modification. Additionally, a limited form of replay detection may be provided.

Selective-Field Connectionless Integrity: Provides for the integrity of selected fields within a single connectionless data block; takes the form of determination of whether the selected fields have been modified.

## **NONREPUDIATION**

Provides protection against denial by one of the entities involved in a communication of having participated in all or part of the communication.

Nonrepudiation, Origin: Proof that the message was sent by the specified party.

Nonrepudiation, Destination: Proof that the message was received by the specified party.

### **1.5. Security Mechanisms**

Table 1.3 lists the security mechanisms defined in X.800. As can be seen the mechanisms are divided into those that are implemented in a specific protocol layer and those that are not specific to any particular protocol layer or security service. These mechanisms will be covered in the appropriate places in the book and so we do not elaborate now, except to comment on the definition of encipherment. X.800 distinguishes between reversible encipherment mechanisms and irreversible encipherment mechanisms. A reversible encipherment mechanism is simply an encryption algorithm that allows data to be encrypted and subsequently decrypted. Irreversible encipherment mechanisms include hash algorithms and message authentication codes, which are used in digital signature and message authentication applications.

Table 1.3. Security Mechanisms (X.800)

#### **SPECIFIC SECURITY MECHANISMS**

May be incorporated into the appropriate protocol layer in order to provide some of the OSI security services.

Table 1.3. Security Mechanisms (X.800)

**SPECIFIC SECURITY MECHANISMS**

**Encipherment**

The use of mathematical algorithms to transform data into a form that is not readily intelligible. The transformation and subsequent recovery of the data depend on an algorithm and zero or more encryption keys.

**Digital Signature**

Data appended to, or a cryptographic transformation of, a data unit that allows a recipient of the data unit to prove the source and integrity of the data unit and protect against forgery (e.g., by the recipient).

**Access Control**

A variety of mechanisms that enforce access rights to resources.

**Data Integrity**

A variety of mechanisms used to assure the integrity of a data unit or stream of data units.

**Authentication Exchange**

A mechanism intended to ensure the identity of an entity by means of information exchange.

**Traffic Padding**

The insertion of bits into gaps in a data stream to frustrate traffic analysis attempts.

**Routing Control**

Enables selection of particular physically secure routes for certain data and allows routing changes, especially when a breach of security is suspected.

**Notarization**

The use of a trusted third party to assure certain properties of a data exchange.

**PERVASIVE SECURITY MECHANISMS**

Mechanisms that are not specific to any particular OSI security service or protocol layer.

**Trusted Functionality**

Table 1.3. Security Mechanisms (X.800)

**SPECIFIC SECURITY MECHANISMS**

That which is perceived to be correct with respect to some criteria (e.g., as established by a security policy).

**Security Label**

The marking bound to a resource (which may be a data unit) that names or designates the security attributes of that resource.

**Event Detection**

Detection of security-relevant events.

**Security Audit Trail**

Data collected and potentially used to facilitate a security audit, which is an independent review and examination of system records and activities.

**Security Recovery**

Deals with requests from mechanisms, such as event handling and management functions, and takes recovery actions.

Table 1.4. Relationship between Security Services and Mechanisms

**Mechanism**

<b>Service</b>	<b>Encipherment</b>	<b>Digital Signature</b>	<b>Access Control</b>	<b>Data Integrity</b>	<b>Authentication Exchange</b>	<b>Traffic Padding</b>	<b>Routine Control</b>	<b>Notarization</b>
Peer entity authentication	Y	Y			Y			
Data origin authentication	Y	Y						
Access control			Y					
Confidentiality	Y						Y	

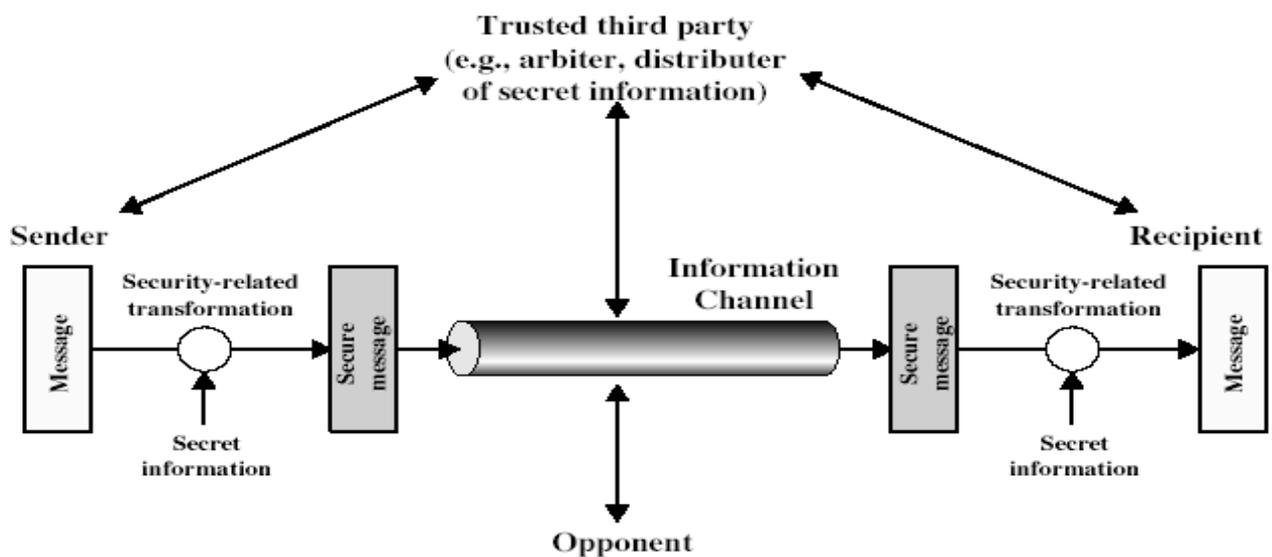
Table 1.3. Security Mechanisms (X.800)

SPECIFIC SECURITY MECHANISMS									
Traffic flow confidentiality	Y						Y	Y	
Data integrity	Y	Y		Y					
Nonrepudiation		Y		Y					Y
Availability				Y	Y				

### 1.6. A Model for Network Security

A model for much of what we will be discussing is captured, in very general terms, in [Figure 1.5](#). A message is to be transferred from one party to another across some sort of internet. The two parties, who are the principals in this transaction, must cooperate for the exchange to take place. A logical information channel is established by defining a route through the internet from source to destination and by the cooperative use of communication protocols (e.g., TCP/IP) by the two principals.

Figure 1.5. Model for Network Security



Security aspects come into play when it is necessary or desirable to protect the information transmission from an opponent who may present a threat to confidentiality, authenticity, and so on. All the techniques for providing security have two components:

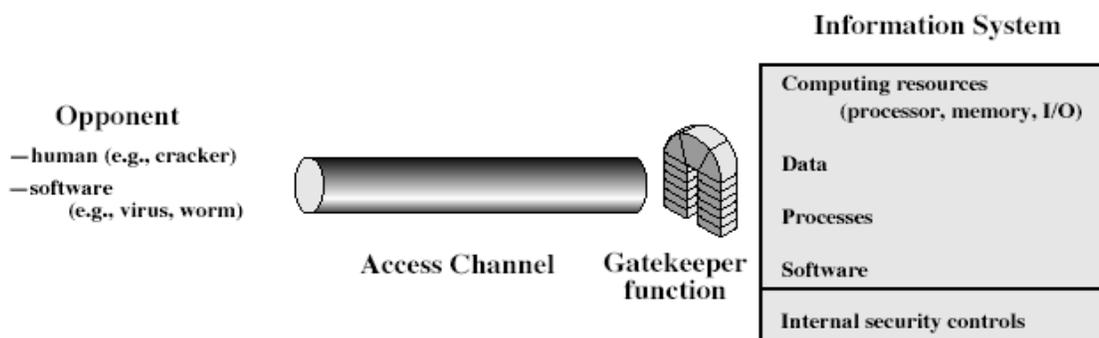
- A security-related transformation on the information to be sent. Examples include the encryption of the message, which scrambles the message so that it is unreadable by the opponent, and the addition of a code based on the contents of the message, which can be used to verify the identity of the sender
- Some secret information shared by the two principals and, it is hoped, unknown to the opponent. An example is an encryption key used in conjunction with the transformation to scramble the message before transmission and unscramble it on reception

This general model shows that there are four basic tasks in designing a particular security service:

1. Design an algorithm for performing the security-related transformation. The algorithm should be such that an opponent cannot defeat its purpose.
2. Generate the secret information to be used with the algorithm.
3. Develop methods for the distribution and sharing of the secret information.
4. Specify a protocol to be used by the two principals that makes use of the security algorithm and the secret information to achieve a particular security service.

### Model for Network Access Security

- using this model requires us to:
  1. select appropriate gatekeeper functions to identify users
  2. implement security controls to ensure only authorised users access designated information or resources
- trusted computer systems may be useful to help implement this model



Another type of unwanted access is the placement in a computer system of logic that exploits vulnerabilities in the system and that can affect application programs as well as utility programs, such as editors and compilers. Programs can present two kinds of threats:

- Information access threats intercept or modify data on behalf of users who should not have access to that data.
- Service threats exploit service flaws in computers to inhibit use by legitimate users.

### *Key Terms*

access control	<a href="#">denial of service</a>	passive threat
active threat	<a href="#">encryption</a>	<a href="#">replay</a>
authentication	<a href="#">integrity</a>	<a href="#">security attacks</a>
authenticity	<a href="#">intruder</a>	<a href="#">security mechanisms</a>
<a href="#">availability</a>	<a href="#">masquerade</a>	<a href="#">security services</a>
<a href="#">data confidentiality</a>	<a href="#">nonrepudiation</a>	<a href="#">traffic analysis</a>
<a href="#">data integrity</a>	<a href="#">OSI security architecture</a>	

### *Review Questions*

- 1.1 What is the OSI security architecture?
- 1.2 What is the difference between passive and active security threats?
- 1.3 List and briefly define categories of passive and active security attacks.
- 1.4 List and briefly define categories of security services.
- 1.5 List and briefly define categories of security mechanisms.

## Chapter 2. Classical Encryption Techniques

### *Overview:*

- Symmetric encryption is a form of cryptosystem in which encryption and decryption are performed using the same key. It is also known as conventional encryption.
- Symmetric encryption transforms plaintext into ciphertext using a secret key and an encryption algorithm. Using the same key and a decryption algorithm, the plaintext is recovered from the ciphertext.
- The two types of attack on an encryption algorithm are cryptanalysis, based on properties of the encryption algorithm, and brute-force, which involves trying all possible keys.
- Traditional (precomputer) symmetric ciphers use substitution and/or transposition techniques. Substitution techniques map plaintext elements (characters, bits) into ciphertext elements. Transposition techniques systematically transpose the positions of plaintext elements.
- Rotor machines are sophisticated precomputer hardware devices that use substitution techniques.
- Steganography is a technique for hiding a secret message within a larger one in such a way that others cannot discern the presence or contents of the hidden message.

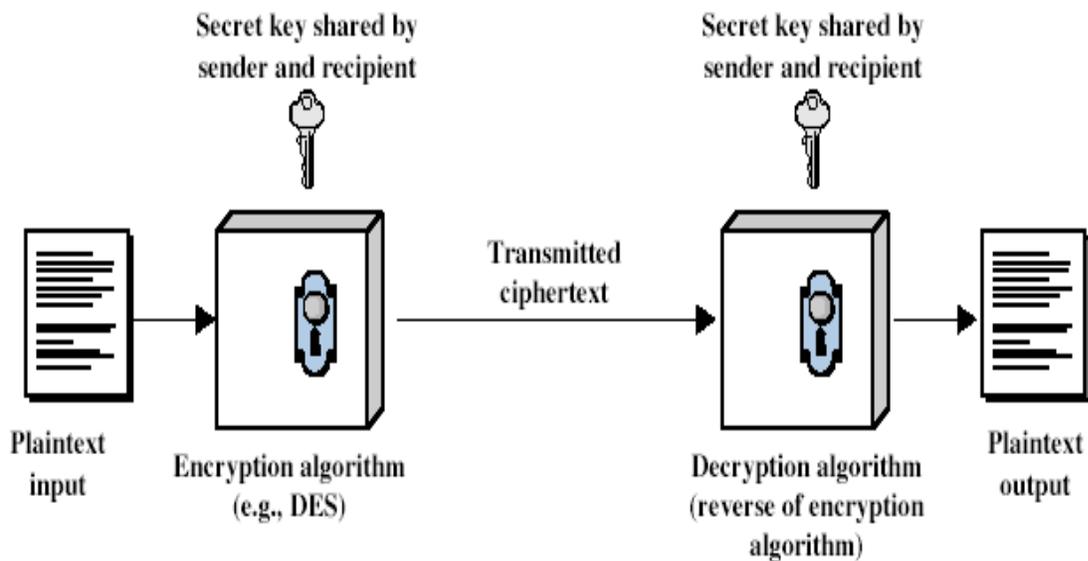
### 2.1. Symmetric Cipher Model

- Plaintext: This is the original intelligible message or data that is fed into the algorithm as input.
- Encryption algorithm: The encryption algorithm performs various substitutions and transformations on the plaintext.
- Secret key: The secret key is also input to the encryption algorithm. The key is a value independent of the plaintext and of the algorithm. The algorithm will produce a different output depending on the specific key being used at the time. The exact substitutions and transformations performed by the algorithm depend on the key.
- Ciphertext: This is the scrambled message produced as output. It depends on the plaintext and the secret key. For a given message, two different keys will produce two different

ciphertexts. The ciphertext is an apparently random stream of data and, as it stands, is unintelligible.

- Decryption algorithm: This is essentially the encryption algorithm run in reverse. It takes the ciphertext and the secret key and produces the original plaintext.

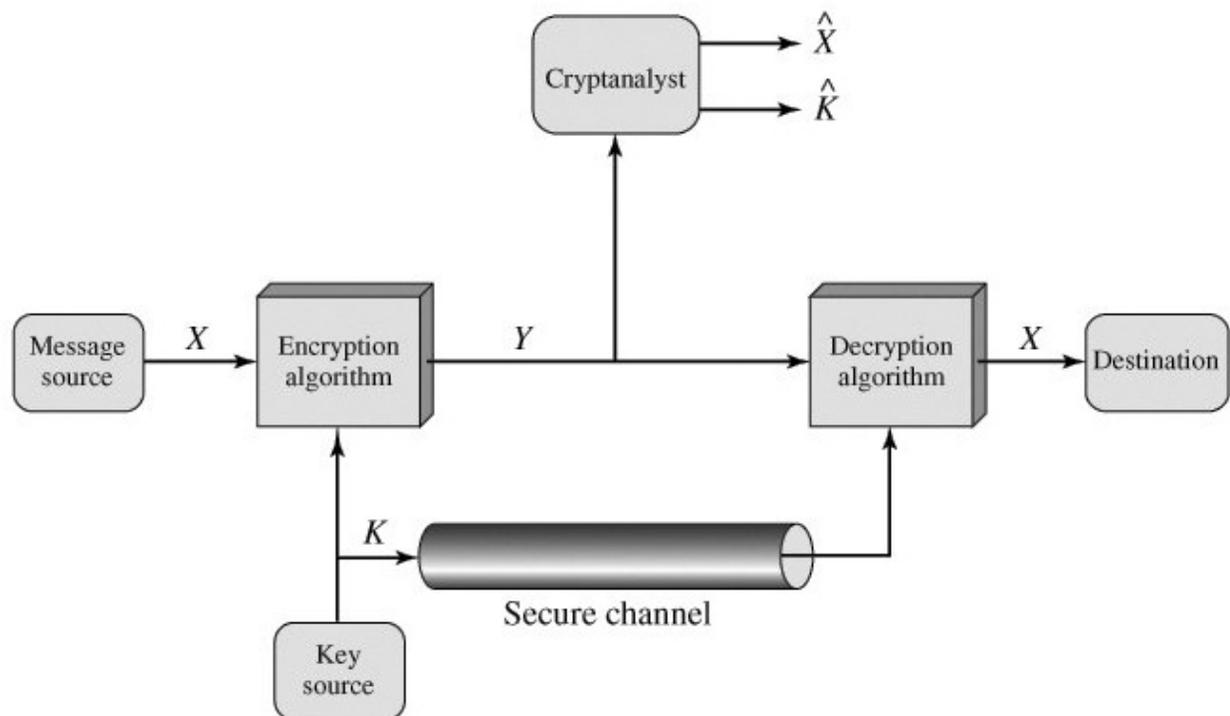
Figure 2.1. Simplified Model of Conventional Encryption



There are two requirements for secure use of conventional encryption:

1. We need a strong encryption algorithm. At a minimum, we would like the algorithm to be such that an opponent who knows the algorithm and has access to one or more ciphertexts would be unable to decipher the ciphertext or figure out the key. This requirement is usually stated in a stronger form: The opponent should be unable to decrypt ciphertext or discover the key even if he or she is in possession of a number of ciphertexts together with the plaintext that produced each ciphertext.
2. Sender and receiver must have obtained copies of the secret key in a secure fashion and must keep the key secure. If someone can discover the key and knows the algorithm, all communication using this key is readable.

Model of Conventional Cryptosystem:



With the message  $X$  and the encryption key  $K$  as input, the encryption algorithm forms the ciphertext  $Y = [Y_1, Y_2, \dots, Y_N]$ . We can write this as

$$Y = E(K, X)$$

## 2.1. Symmetric Cipher Model

A symmetric encryption scheme has five ingredients

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2. Sender and receiver must have obtained copies of the secret key in a secure fashion and must keep the key secure. If someone can discover the key and knows the algorithm, all communication using this key is readable.

We assume that it is impractical to decrypt a message on the basis of the ciphertext plus knowledge of the encryption/decryption algorithm. In other words, we do not need to keep the algorithm secret; we need to keep only the key secret. This feature of symmetric encryption is what makes it feasible for widespread use. The fact that the algorithm need not be kept secret means that manufacturers can and have developed low-cost chip implementations of data encryption algorithms. These chips are widely available and incorporated into a number of products. With the use of symmetric encryption, the principal security problem is maintaining the secrecy of the key.

Let us take a closer look at the essential elements of a symmetric encryption scheme, using [Figure 2.2](#). A source produces a message in plaintext,  $X = [X_1, X_2, \dots, X_M]$ . The  $M$  elements of  $X$  are letters in some finite alphabet. Traditionally, the alphabet usually consisted of the 26 capital letters. Nowadays, the binary alphabet  $\{0, 1\}$  is typically used. For encryption, a key of the form

$K = [K_1, K_2, \dots, K_j]$  is generated. If the key is generated at the message source, then it must also be provided to the destination by means of some secure channel. Alternatively, a third party could generate the key and securely deliver it to both source and destination.

With the message  $X$  and the encryption key  $K$  as input, the encryption algorithm forms the ciphertext  $Y = [Y_1, Y_2, \dots, Y_N]$ . We can write this as

$$Y = E(K, X)$$

This notation indicates that  $Y$  is produced by using encryption algorithm  $E$  as a function of the plaintext  $X$ , with the specific function determined by the value of the key  $K$ .

The intended receiver, in possession of the key, is able to invert the transformation:

$$X = D(K, Y)$$

An opponent, observing  $Y$  but not having access to  $K$  or  $X$ , may attempt to recover  $X$  or  $K$  or both  $X$  and  $K$ . It is assumed that the opponent knows the encryption ( $E$ ) and decryption ( $D$ ) algorithms. If the opponent is interested in only this particular message, then the focus of the effort is to recover  $X$  by generating a plaintext estimate  $\hat{X}$ . Often, however, the opponent is interested in being able to read future messages as well, in which case an attempt is made to recover  $K$  by generating an estimate  $\hat{K}$ .

## **Cryptography**

Cryptographic systems are characterized along three independent dimensions:

1. The type of operations used for transforming plaintext to ciphertext. All encryption algorithms are based on two general principles: substitution, in which each element in the plaintext (bit, letter, group of bits or letters) is mapped into another element, and transposition, in which elements in the plaintext are rearranged. The fundamental requirement is that no information be lost (that is, that all operations are reversible). Most systems, referred to as product systems, involve multiple stages of substitutions and transpositions.

2. The number of keys used. If both sender and receiver use the same key, the system is referred to as symmetric, single-key, secret-key, or conventional encryption. If the sender and receiver use different keys, the system is referred to as asymmetric, two-key, or public-key encryption.
3. The way in which the plaintext is processed. A *block cipher* processes the input one block of elements at a time, producing an output block for each input block. A *stream cipher* processes the input elements continuously, producing output one element at a time, as it goes along.

### Cryptanalysis

Typically, the objective of attacking an encryption system is to recover the key in use rather than simply to recover the plaintext of a single ciphertext. There are two general approaches to attacking a conventional encryption scheme:

- Cryptanalysis: Cryptanalytic attacks rely on the nature of the algorithm plus perhaps some knowledge of the general characteristics of the plaintext or even some sample plaintext-ciphertext pairs. This type of attack exploits the characteristics of the algorithm to attempt to deduce a specific plaintext or to deduce the key being used.
- Brute-force attack: The attacker tries every possible key on a piece of ciphertext until an intelligible translation into plaintext is obtained. On average, half of all possible keys must be tried to achieve success.

Table 2.1. Types of Attacks on Encrypted Messages	
Type of Attack	Known to Cryptanalyst
Ciphertext only	<ul style="list-style-type: none"> <li>• Encryption algorithm</li> <li>• Ciphertext</li> </ul>
Known	<ul style="list-style-type: none"> <li>• Encryption algorithm</li> </ul>

Table 2.1. Types of Attacks on Encrypted Messages

<b>Type of Attack</b>	<b>Known to Cryptanalyst</b>
plaintext	<ul style="list-style-type: none"> <li>• Ciphertext</li> <li>• One or more plaintext-ciphertext pairs formed with the secret key</li> </ul>
Chosen plaintext	<ul style="list-style-type: none"> <li>• Encryption algorithm</li> <li>• Ciphertext</li> <li>• Plaintext message chosen by cryptanalyst, together with its corresponding ciphertext generated with the secret key</li> </ul>
Chosen ciphertext	<ul style="list-style-type: none"> <li>• Encryption algorithm</li> <li>• Ciphertext</li> <li>• Purported ciphertext chosen by cryptanalyst, together with its corresponding decrypted plaintext generated with the secret key</li> </ul>
Chosen text	<ul style="list-style-type: none"> <li>• Encryption algorithm</li> <li>• Ciphertext</li> <li>• Plaintext message chosen by cryptanalyst, together with its corresponding ciphertext generated with the secret key</li> <li>• Purported ciphertext chosen by cryptanalyst, together with its corresponding decrypted plaintext generated with the secret key</li> </ul>

The ciphertext-only attack is the easiest to defend against because the opponent has the least amount of information to work with. In many cases, however, the analyst has more information. The analyst may be able to capture one or more plaintext messages as well as their encryptions. Or the analyst may know that certain plaintext patterns will appear in a message. For example, a file that is encoded in the Postscript format always begins with the same pattern,

or there may be a standardized header or banner to an electronic funds transfer message, and so on. All these are examples of known plaintext. With this knowledge, the analyst may be able to deduce the key on the basis of the way in which the known plaintext is transformed.

## **2.2. Substitution Techniques**

In this section and the next, we examine a sampling of what might be called classical encryption techniques. A study of these techniques enables us to illustrate the basic approaches to symmetric encryption used today and the types of cryptanalytic attacks that must be anticipated.

The two basic building blocks of all encryption techniques are substitution and transposition. We examine these in the next two sections. Finally, we discuss a system that combines both substitution and transposition.

A substitution technique is one in which the letters of plaintext are replaced by other letters or by numbers or symbols. If the plaintext is viewed as a sequence of bits, then substitution involves replacing plaintext bit patterns with ciphertext bit patterns.

### **Caesar Cipher**

The earliest known use of a substitution cipher, and the simplest, was by Julius Caesar. The Caesar cipher involves replacing each letter of the alphabet with the letter standing three places further down the alphabet. For example,

plain: meet me after the toga party

cipher: PHHW PH DIWHU WKH WRJD SDUWB

Note that the alphabet is wrapped around, so that the letter following Z is A. We can define the transformation by listing all possibilities, as follows:

plain: a b c d e f g h i j k l m n o p q r s t u v w x y z

cipher: D E F G H I J K L M N O P Q R S T U V W X Y Z A B C

Let us assign a numerical equivalent to each letter:

a	b	c	d	e	f	g	h	i	J	k	l	m
0	1	2	3	4	5	6	7	8	9	10	11	12

n	o	p	q	r	s	T	u	v	w	x	y	z
13	14	15	16	17	18	19	20	21	22	23	24	25

Then the algorithm can be expressed as follows. For each plaintext letter  $p$ , substitute the ciphertext letter  $C$

<sup>[2]</sup> We define  $a \bmod n$  to be the remainder when  $a$  is divided by  $n$ . For example,  $11 \bmod 7 = 4$ . See [Chapter 4](#) for a further discussion of modular arithmetic.

$$C = E(3, p) = (p + 3) \bmod 26$$

A shift may be of any amount, so that the general Caesar algorithm is

$$C = E(k, p) = (p + k) \bmod 26$$

where  $k$  takes on a value in the range 1 to 25. The decryption algorithm is simply

$$p = D(k, C) = (C - k) \bmod 26$$

Three important characteristics of this problem enabled us to use a brute-force cryptanalysis:

1. The encryption and decryption algorithms are known.
2. There are only 25 keys to try.
3. The language of the plaintext is known and easily recognizable.

## Monoalphabetic Ciphers

With only 25 possible keys, the Caesar cipher is far from secure. A dramatic increase in the key space can be achieved by allowing an arbitrary substitution. Recall the assignment for the Caesar cipher:

plain: a b c d e f g h i j k l m n o p q r s t u v w x y z

cipher: D E F G H I J K L M N O P Q R S T U V W X Y Z A B C

If, instead, the "cipher" line can be any permutation of the 26 alphabetic characters, then there are  $26!$  or greater than  $4 \times 10^{26}$  possible keys. This is 10 orders of magnitude greater than the key space for DES and would seem to eliminate brute-force techniques for cryptanalysis. Such an approach is referred to as a monoalphabetic substitution cipher, because a single cipher alphabet (mapping from plain alphabet to cipher alphabet) is used per message.

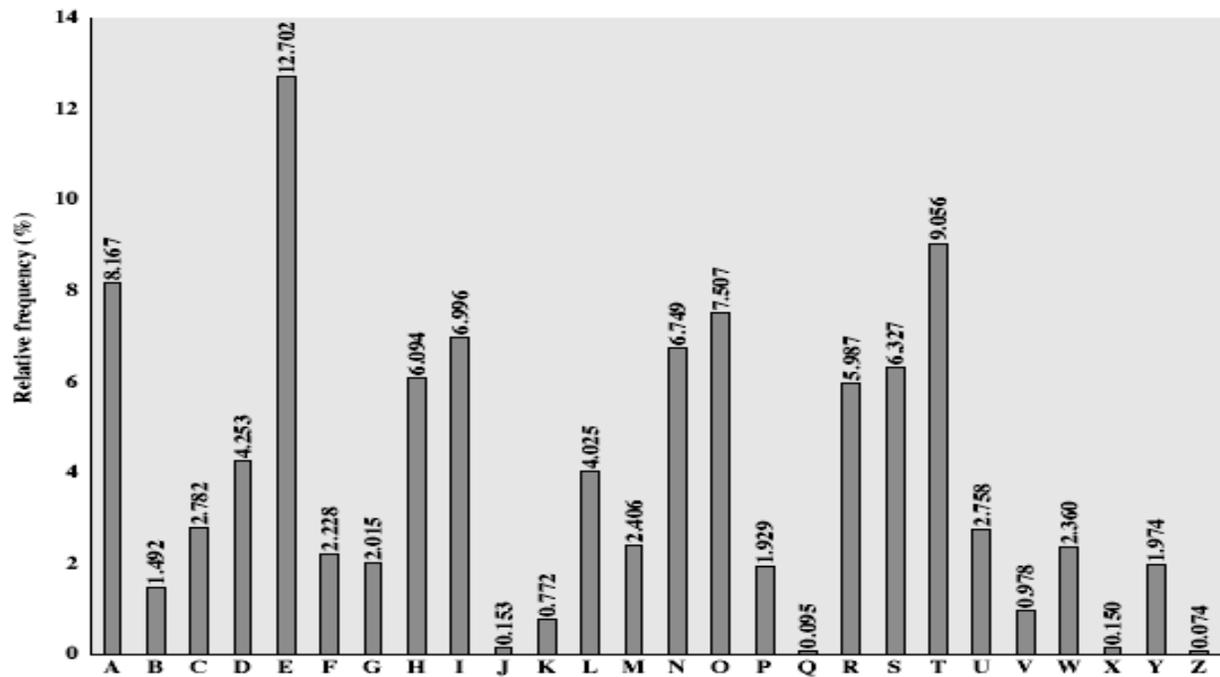
There is, however, another line of attack. If the cryptanalyst knows the nature of the plaintext (e.g., noncompressed English text), then the analyst can exploit the regularities of the language. To see how such a cryptanalysis might proceed, we give a partial example here that is adapted from one in [\[SINK66\]](#). The ciphertext to be solved is

UZQSOVUOHXMOPVGPOZPEVSGZWSZOPFPESXUDBMETSXAIZ  
VUEPHZHMDZSHZOWSFPAPPDTSVPQUZWYMXUZUHSX  
EPYEPOPZSZUFPOMBZWPFPUPZHMDJUDTMOHMQ

As a first step, the relative frequency of the letters can be determined and compared to a standard frequency distribution for English, such as is shown in [Figure 2.5](#) (based on [\[LEWA00\]](#)). If the message were long enough, this technique alone might be sufficient, but because this is a relatively short message, we cannot expect an exact match. In any case, the relative frequencies of the letters in the ciphertext (in percentages) are as follows:

P 13.33	H 5.83	F 3.33	B 1.67	C 0.00
Z 11.67	D 5.00	W 3.33	G 1.67	K 0.00

P 13.33	H 5.83	F 3.33	B 1.67	C 0.00
S 8.33	E 5.00	Q 2.50	Y 1.67	L 0.00
U 8.33	V 4.17	T 2.50	I 0.83	N 0.00
O 7.50	X 4.17	A 1.67	J 0.83	R 0.00
M 6.67				



### Playfair Cipher

The best-known multiple-letter encryption cipher is the Playfair, which treats digrams in the plaintext as single units and translates these units into ciphertext digrams.

M	O	N	A	R
C	H	Y	B	D
E	F	G	I/J	K

M	O	N	A	R
L	P	Q	S	T
U	V	W	X	Z

In this case, the keyword is monarchy. The matrix is constructed by filling in the letters of the keyword (minus duplicates) from left to right and from top to bottom, and then filling in the remainder of the matrix with the remaining letters in alphabetic order. The letters I and J count as one letter. Plaintext is encrypted two letters at a time, according to the following rules:

1. Repeating plaintext letters that are in the same pair are separated with a filler letter, such as x, so that balloon would be treated as ba lx lo on.
2. Two plaintext letters that fall in the same row of the matrix are each replaced by the letter to the right, with the first element of the row circularly following the last. For example, ar is encrypted as RM.
3. Two plaintext letters that fall in the same column are each replaced by the letter beneath, with the top element of the column circularly following the last. For example, mu is encrypted as CM.
4. Otherwise, each plaintext letter in a pair is replaced by the letter that lies in its own row and the column occupied by the other plaintext letter. Thus, hs becomes BP and ea becomes IM (or JM, as the encipherer wishes).

#### Hill Cipher:

Another interesting multiletter cipher is the Hill cipher, developed by the mathematician Lester Hill in 1929. The encryption algorithm takes  $m$  successive plaintext letters and substitutes for them  $m$  ciphertext letters. The substitution is determined by  $m$  linear equations in which each character is assigned a numerical value ( $a = 0, b = 1 \dots z = 25$ ). For  $m = 3$ , the system can be described as follows:

$$c_1 = (k_{11}P_1 + k_{12}P_2 + k_{13}P_3) \text{ mod } 26$$

$$c_2 = (k_{21}P_1 + k_{22}P_2 + k_{23}P_3) \text{ mod } 26$$

$$c_3 = (k_{31}P_1 + k_{32}P_2 + k_{33}P_3) \text{ mod } 26$$

This can be expressed in term of column vectors and matrices:

$$C = KP \text{ mod } 26$$

where C and P are column vectors of length 3, representing the plaintext and ciphertext, and K is a 3 x 3 matrix, representing the encryption key. Operations are performed mod 26.

Decryption requires using the inverse of the matrix K. The inverse  $K^{-1}$  of a matrix K is defined by the equation  $KK^{-1} = K^{-1}K = I$ , where I is the matrix that is all zeros except for ones along the main diagonal from upper left to lower right. The inverse of a matrix does not always exist, but when it does, it satisfies the preceding equation. In this case, the inverse is:

It is easily seen that if the matrix  $K^{-1}$  is applied to the ciphertext, then the plaintext is recovered. To explain how the inverse of a matrix is determined, we make an exceedingly brief excursion into linear algebra.<sup>[7]</sup> For any square matrix (m x m) the determinant equals the sum of all the products that can be formed by taking exactly one element from each row and exactly one element from each column, with certain of the product terms preceded by a minus sign. For a 2 x 2 matrix

## **Polyalphabetic Ciphers**

Another way to improve on the simple monoalphabetic technique is to use different monoalphabetic substitutions as one proceeds through the plaintext message. The general name for this approach is polyalphabetic substitution cipher. All these techniques have the following features in common:

1. A set of related monoalphabetic substitution rules is used.
2. A key determines which particular rule is chosen for a given transformation.

The best known, and one of the simplest, such algorithm is referred to as the Vigenère cipher. In this scheme, the set of related monoalphabetic substitution rules consists of the 26 Caesar ciphers, with shifts of 0 through 25. Each cipher is denoted by a key letter, which is the ciphertext letter that substitutes for the plaintext letter a. Thus, a Caesar cipher with a shift of 3 is denoted by the key value d.

To aid in understanding the scheme and to aid in its use, a matrix known as the Vigenère tableau is constructed ([Table 2.3](#)). Each of the 26 ciphers is laid out horizontally, with the key letter for each cipher to its left. A normal alphabet for the plaintext runs across the top. The process of encryption is simple: Given a key letter x and a plaintext letter y, the ciphertext letter is at the intersection of the row labeled x and the column labeled y; in this case the ciphertext is V.

### 2.3. Transposition Techniques

All the techniques examined so far involve the substitution of a ciphertext symbol for a plaintext symbol. A very different kind of mapping is achieved by performing some sort of permutation on the plaintext letters. This technique is referred to as a transposition cipher.

The simplest such cipher is the rail fence technique, in which the plaintext is written down as a sequence of diagonals and then read off as a sequence of rows. For example, to encipher the message "meet me after the toga party" with a rail fence of depth 2, we write the following:

```
m e m a t r h t g p r y  
e t e f e t e o a a t
```

The encrypted message is

MEMATRHTGPRYETEFETEOAAT

This sort of thing would be trivial to cryptanalyze. A more complex scheme is to write the message in a rectangle, row by row, and read the message off, column by column, but

permute the order of the columns. The order of the columns then becomes the key to the algorithm. For example,

Key: 4 3 1 2 5 6 7

Plaintext: a t t a c k p

o s t p o n e

d u n t i l t

w o a m x y z

Ciphertext: TTNAAPTMTSUOAODWCOIXKNLYPETZ

A pure transposition cipher is easily recognized because it has the same letter frequencies as the original plaintext. For the type of columnar transposition just shown, cryptanalysis is fairly straightforward and involves laying out the ciphertext in a matrix and playing around with column positions. Digram and trigram frequency tables can be useful.

The transposition cipher can be made significantly more secure by performing more than one stage of transposition. The result is a more complex permutation that is not easily reconstructed. Thus, if the foregoing message is reencrypted using the same algorithm,

Key: 4 3 1 2 5 6 7

Input: t t n a a p t

m t s u o a o

d w c o i x k

n l y p e t z

Output: NSCYAUOPTTWLTMDNAOIEPAXTTOKZ

To visualize the result of this double transposition, designate the letters in the original plaintext message by the numbers designating their position. Thus, with 28 letters in the message, the original sequence of letters is

01 02 03 04 05 06 07 08 09 10 11 12 13 14

15 16 17 18 19 20 21 22 23 24 25 26 27 28

After the first transposition we have

03 10 17 24 04 11 18 25 02 09 16 23 01 08  
15 22 05 12 19 26 06 13 20 27 07 14 21 28

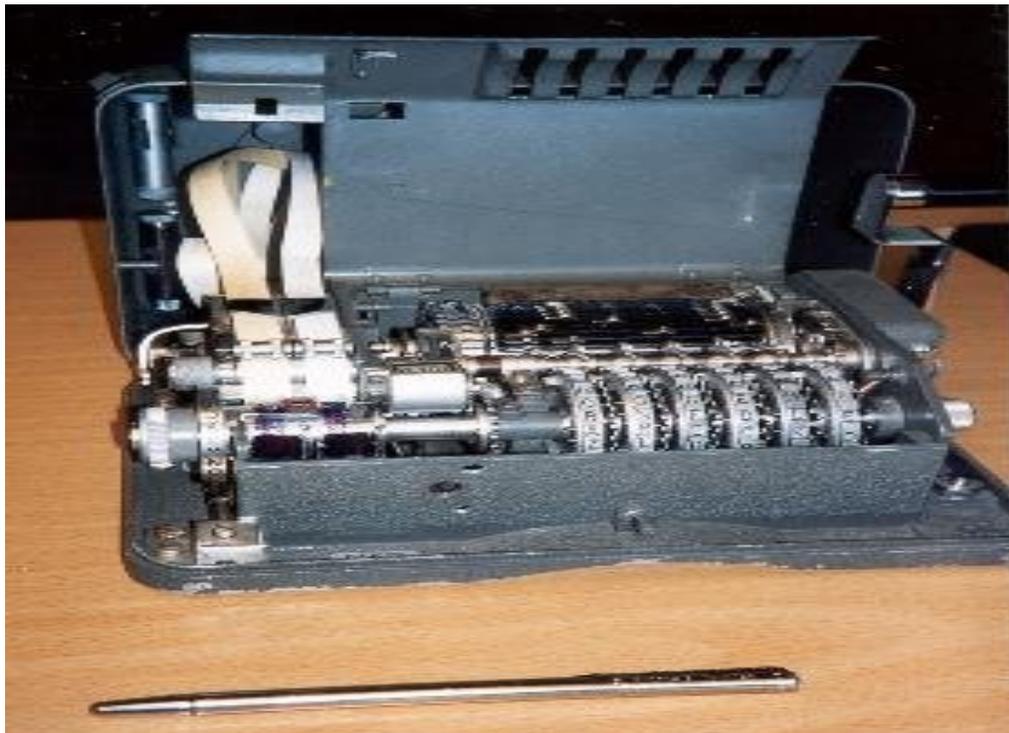
which has a somewhat regular structure. But after the second transposition, we have

17 09 05 27 24 16 12 07 10 02 22 20 03 25  
15 13 04 23 19 14 11 01 26 21 18 08 06 28

This is a much less structured permutation and is much more difficult to cryptanalyze.

## 2.4. Rotor Machines

The example just given suggests that multiple stages of encryption can produce an algorithm that is significantly more difficult to cryptanalyze. This is as true of substitution ciphers as it is of transposition ciphers. Before the introduction of DES, the most important application of the principle of multiple stages of encryption was a class of systems known as rotor machines



## 2.5. Steganography

We conclude with a discussion of a technique that is, strictly speaking, not encryption, namely, steganography.

A plaintext message may be hidden in one of two ways. The methods of steganography conceal the existence of the message, whereas the methods of cryptography render the message unintelligible to outsiders by various transformations of the text.<sup>1</sup>

A simple form of steganography, but one that is time-consuming to construct, is one in which an arrangement of words or letters within an apparently innocuous text spells out the real message. For example, the sequence of first letters of each word of the overall message spells out the hidden message.

- Character marking: Selected letters of printed or typewritten text are overwritten in pencil. The marks are ordinarily not visible unless the paper is held at an angle to bright light.
- Invisible ink: A number of substances can be used for writing but leave no visible trace until heat or some chemical is applied to the paper.
- Pin punctures: Small pin punctures on selected letters are ordinarily not visible unless the paper is held up in front of a light.
- Typewriter correction ribbon: Used between lines typed with a black ribbon, the results of typing with the correction tape are visible only under a strong light.

### *Key Terms*

[block cipher](#)

[computationally secure](#)

[cryptology](#)

[brute-force attack](#)

[conventional encryption](#)

[deciphering](#)

[Caesar cipher](#)

[cryptanalysis](#)

[decryption](#)

[cipher](#)

[cryptographic system](#)

[enciphering](#)

[ciphertext](#)

[cryptography](#)

[encryption](#)

[Hill cipher](#)

[polyalphabetic cipher](#)

[symmetric encryption](#)

[monoalphabetic cipher](#)

rail fence cipher

[transposition cipher](#)

[one-time pad](#)

[single-key encryption](#)

[unconditionally secure](#)

[plaintext](#)

[steganography](#)

[Vigenère cipher](#)

[Playfair cipher](#)

[stream cipher](#)

### *Review Questions*

- 2.1 What are the essential ingredients of a symmetric cipher?
- 2.2 What are the two basic functions used in encryption algorithms?
- 2.3 How many keys are required for two people to communicate via a cipher?
- 2.4 What is the difference between a block cipher and a stream cipher?
- 2.5 What are the two general approaches to attacking a cipher?
- 2.6 List and briefly define types of cryptanalytic attacks based on what is known to the attacker.
- 2.7 What is the difference between an unconditionally secure cipher and a computationally secure cipher?
- 2.8 Briefly define the Caesar cipher.
- 2.9 Briefly define the monoalphabetic cipher.
- 2.10 Briefly define the Playfair cipher.

2.11 What is the difference between a monoalphabetic cipher and a polyalphabetic cipher?

2.12 What are two problems with the one-time pad?

2.13 What is a transposition cipher?

2.14 What is steganography?

### Chapter 3. Block Ciphers and the Data Encryption Standard

#### Overview:

- A [block cipher](#) is an encryption/decryption scheme in which a block of plaintext is treated as a whole and used to produce a ciphertext block of equal length.
- Many block ciphers have a Feistel structure. Such a structure consists of a number of identical rounds of processing. In each round, a substitution is performed on one half of the data being processed, followed by a permutation that interchanges the two halves. The original key is expanded so that a different key is used for each round.
- The Data Encryption Standard (DES) has been the most widely used encryption algorithm until recently. It exhibits the classic Feistel structure. DES uses a 64-bit block and a 56-bit key.
- Two important methods of cryptanalysis are [differential cryptanalysis](#) and linear cryptanalysis. DES has been shown to be highly resistant to these two types of attack.

The objective of this chapter is to illustrate the principles of modern symmetric ciphers. For this purpose, we focus on the most widely used symmetric cipher: the Data Encryption Standard (DES). Although numerous symmetric ciphers have been developed since the introduction of DES, and although it is destined to be replaced by the Advanced Encryption Standard (AES), DES remains the most important such algorithm. Further, a detailed study of DES provides an understanding of the principles used in other symmetric ciphers.

### 3.1. Block Cipher Principles

Most symmetric block encryption algorithms in current use are based on a structure referred to as a Feistel block cipher [FEIS73]. For that reason, it is important to examine the design principles of the Feistel cipher. We begin with a comparison of stream ciphers and block ciphers. Then we discuss the motivation for the Feistel block cipher structure. Finally, we discuss some of its implications.

#### Stream Ciphers and Block Ciphers

A [stream cipher](#) is one that encrypts a digital data stream one bit or one byte at a time. Examples of classical stream ciphers are the autokeyed Vigenère cipher and the Vernam cipher. A [block cipher](#) is one in which a block of plaintext is treated as a whole and used to produce a ciphertext block of equal length. Typically, a block size of 64 or 128 bits is used. Using some of the modes of operation explained in [Chapter 6](#), a block cipher can be used to achieve the same effect as a stream cipher.

Far more effort has gone into analyzing block ciphers. In general, they seem applicable to a broader range of applications than stream ciphers. The vast majority of network-based symmetric cryptographic applications make use of block ciphers. Accordingly, the concern in this chapter, and in our discussions throughout the book of symmetric encryption, will focus on block ciphers.

#### Motivation for the Feistel Cipher Structure

A block cipher operates on a plaintext block of  $n$  bits to produce a ciphertext block of  $n$  bits. There are  $2^n$  possible different plaintext blocks and, for the encryption to be reversible (i.e., for decryption to be possible), each must produce a unique ciphertext block. Such a transformation is called reversible, or nonsingular. The following examples illustrate nonsingular and singular transformation for  $n = 2$ .

#### Reversible Mapping

Plaintext	Ciphertext
-----------	------------

00	11
01	10
10	00
11	01

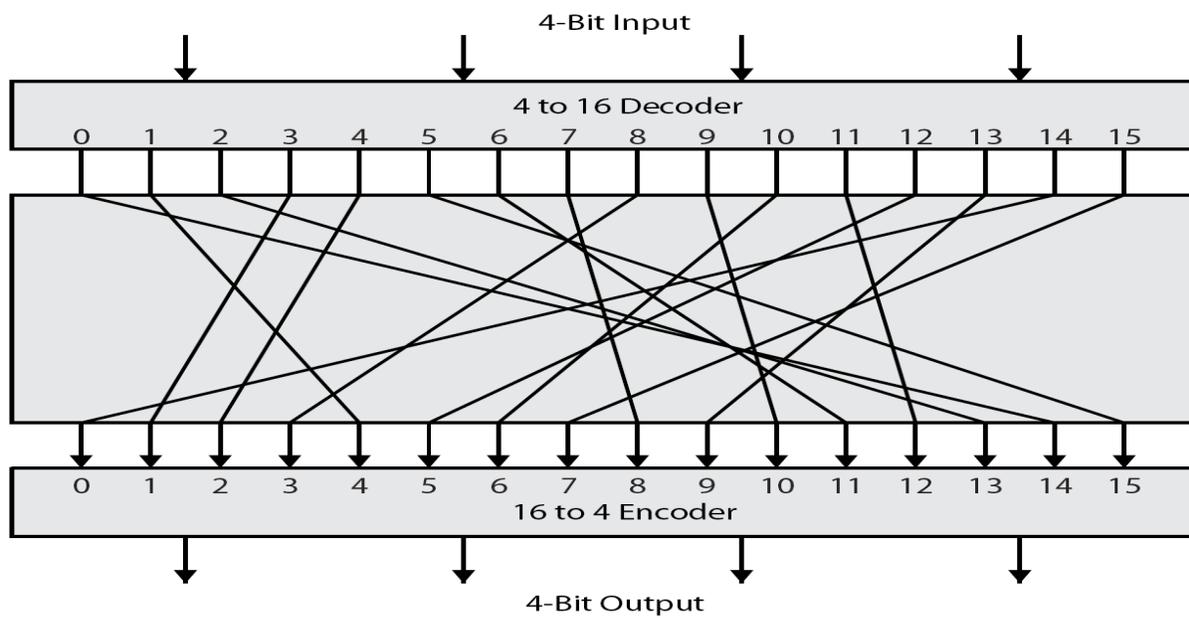
**Irreversible Mapping**

**Plaintext Ciphertext**

00	11
01	10
10	01
11	01

In the latter case, a ciphertext of 01 could have been produced by one of two plaintext blocks. So if we limit ourselves to reversible mappings, the number of different transformations is  $2^n!$ .

Figure 3.1. General n-bit-n-bit Block Substitution (shown with n = 4)



## Claude Shannon and Substitution-Permutation Ciphers

- Claude Shannon introduced idea of substitution-permutation (S-P) networks in 1949 paper
- form basis of modern block ciphers
- S-P nets are based on the two primitive cryptographic operations seen before:
  - *substitution* (S-box)
  - *permutation* (P-box)
- provide *confusion* & *diffusion* of message & key

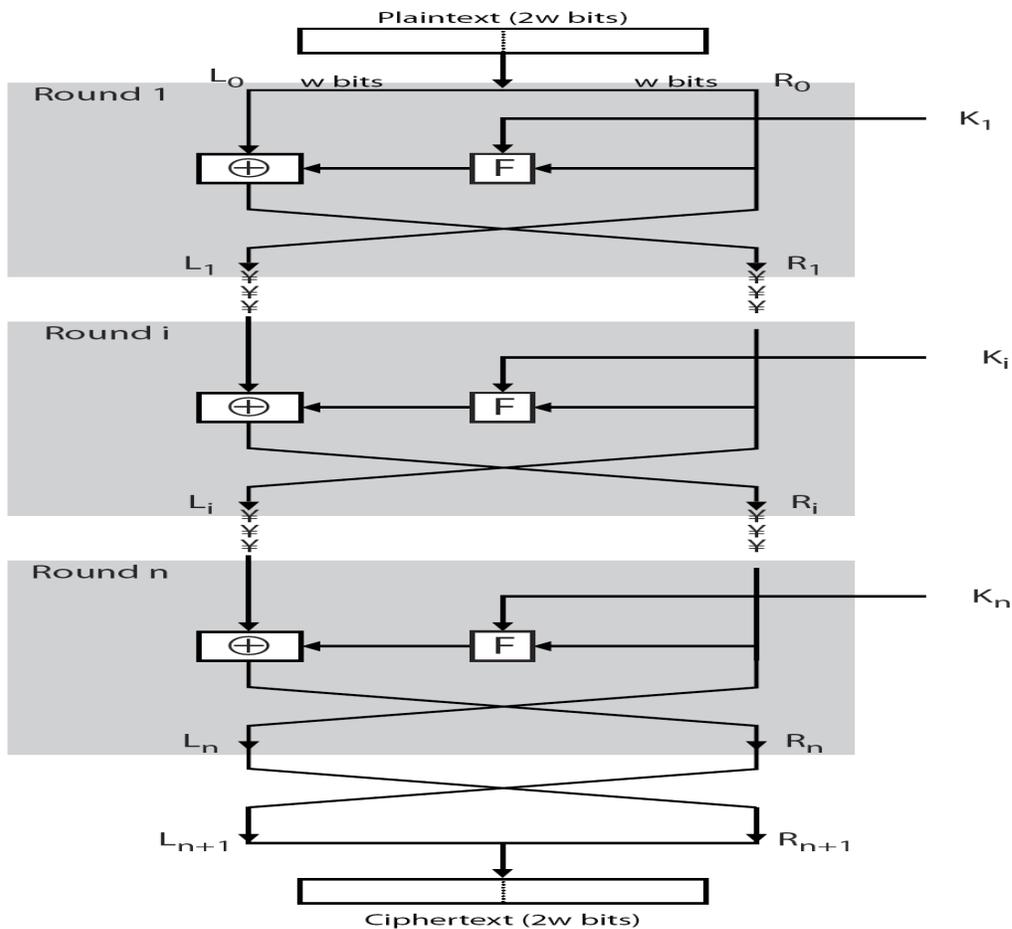
## Confusion and Diffusion

- cipher needs to completely obscure statistical properties of original message
- a one-time pad does this
- more practically Shannon suggested combining S & P elements to obtain:
- **diffusion** – dissipates statistical structure of plaintext over bulk of ciphertext
- **confusion** – makes relationship between ciphertext and key as complex as possible

## Feistel Cipher Structure

- Horst Feistel devised the **feistel cipher**
  - based on concept of invertible product cipher
- partitions input block into two halves
  - process through multiple rounds which
  - perform a substitution on left data half
  - based on round function of right half & subkey

- then have permutation swapping halves
- implements Shannon's S-P net concept



### Feistel Cipher Design Elements

- Block size: Larger block sizes mean greater security (all other things being equal) but reduced encryption/decryption speed for a given algorithm. The greater security is achieved by greater diffusion. Traditionally, a block size of 64 bits has been considered a reasonable tradeoff and was nearly universal in block cipher design. However, the new AES uses a 128-bit block size.
- Key size: Larger key size means greater security but may decrease encryption/decryption speed. The greater security is achieved by greater resistance to brute-force attacks and

greater confusion. Key sizes of 64 bits or less are now widely considered to be inadequate, and 128 bits has become a common size.

- Number of rounds: The essence of the Feistel cipher is that a single round offers inadequate security but that multiple rounds offer increasing security. A typical size is 16 rounds.
- Subkey generation algorithm: Greater complexity in this algorithm should lead to greater difficulty of cryptanalysis.
- Round function: Again, greater complexity generally means greater resistance to cryptanalysis.

There are two other considerations in the design of a Feistel cipher:

- Fast software encryption/decryption: In many cases, encryption is embedded in applications or utility functions in such a way as to preclude a hardware implementation. Accordingly, the speed of execution of the algorithm becomes a concern.
- Ease of analysis: Although we would like to make our algorithm as difficult as possible to cryptanalyze, there is great benefit in making the algorithm easy to analyze. That is, if the algorithm can be concisely and clearly explained, it is easier to analyze that algorithm for cryptanalytic vulnerabilities and therefore develop a higher level of assurance as to its strength. DES, for example, does not have an easily analyzed functionality.

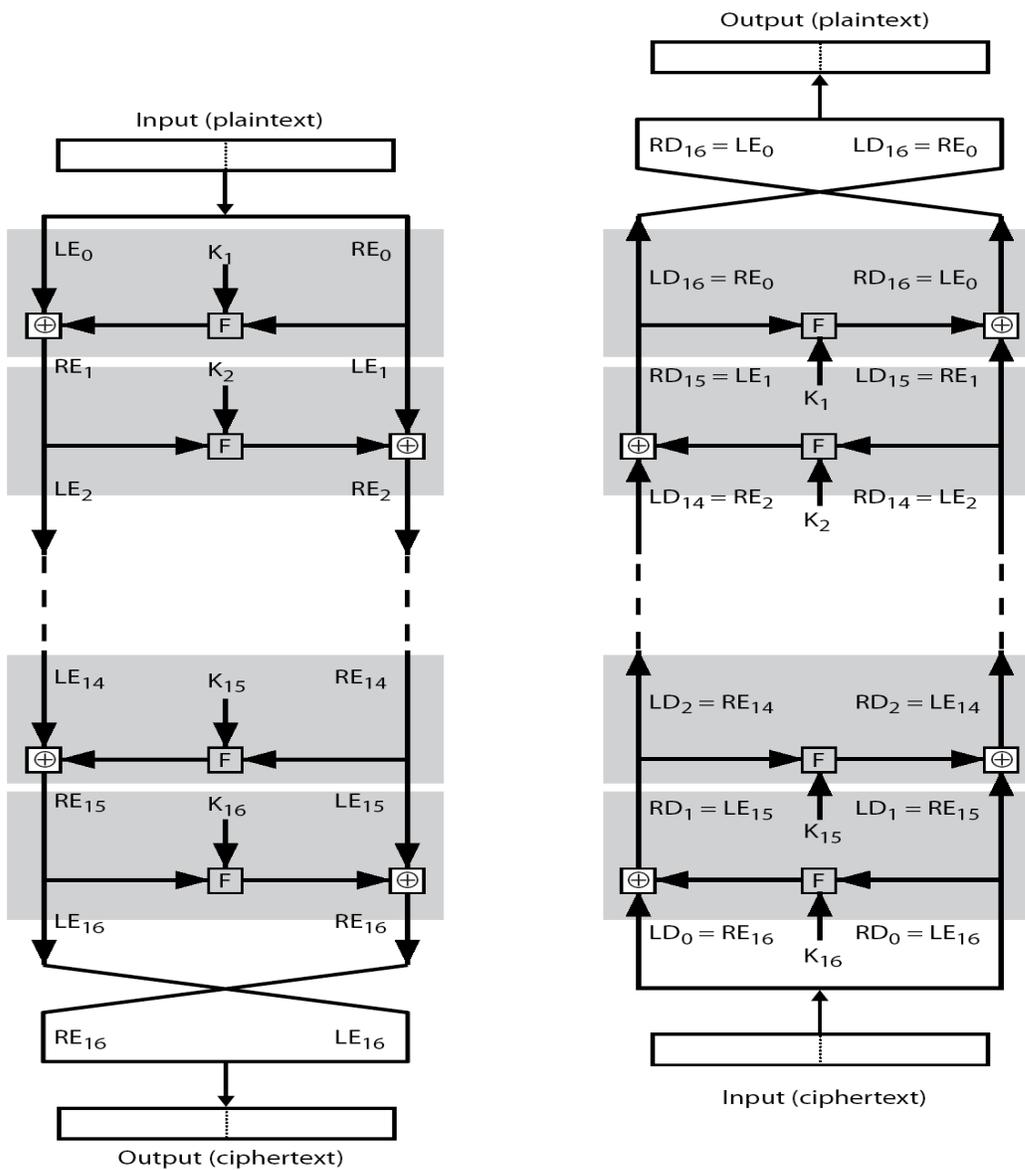
### **Feistel Decryption Algorithm**

The process of decryption with a Feistel cipher is essentially the same as the encryption process. The rule is as follows: Use the ciphertext as input to the algorithm, but use the subkeys  $K_i$  in reverse order. That is, use  $K_n$  in the first round,  $K_{n-1}$  in the second round, and so on until  $K_1$  is used in the last round. This is a nice feature because it means we need not implement two different algorithms, one for encryption and one for decryption.

To see that the same algorithm with a reversed key order produces the correct result, consider [Figure 3.3](#), which shows the encryption process going down the left-hand side and the decryption process going up the right-hand side for a 16-round algorithm (the result would be the same for any number of rounds). For clarity, we use the notation  $LE_i$  and  $RE_i$  for data traveling

through the encryption algorithm and  $LD_i$  and  $RD_i$  for data traveling through the decryption algorithm. The diagram indicates that, at every round, the intermediate value of the decryption process is equal to the corresponding value of the encryption process with the two halves of the value swapped. To put this another way, let the output of the  $i$ th encryption round be  $LE_i||RE_i$  ( $L_i$  concatenated with  $R_i$ ). Then the corresponding input to the  $(16-i)$ th decryption round is  $RE_i||LE_i$  or, equivalently,  $RD_{16-i}||LD_{16-i}$ .

Figure 3.3. Feistel Encryption and Decryption



## **Data Encryption Standard (DES)**

- most widely used block cipher in world
- adopted in 1977 by NBS (now NIST)
  - as FIPS PUB 46
- encrypts 64-bit data using 56-bit key
- has widespread use
- has been considerable controversy over its security

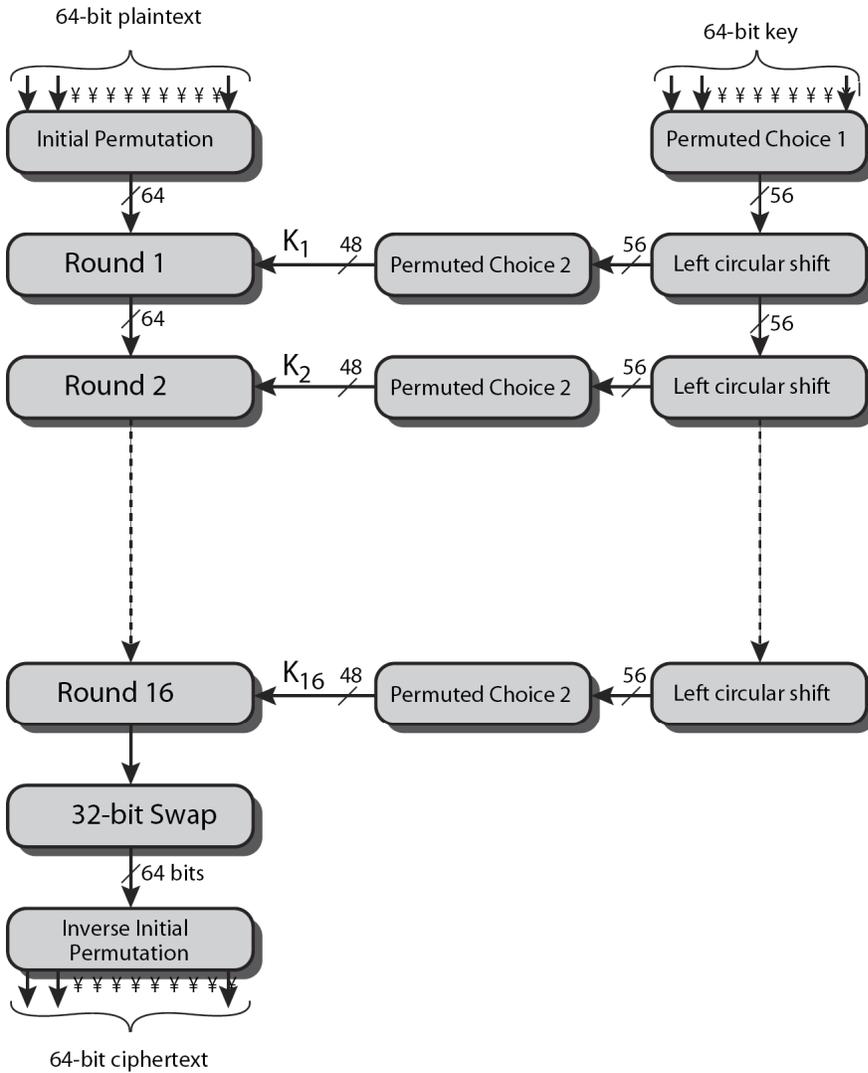
## **DES History**

- IBM developed Lucifer cipher
  - by team led by Feistel in late 60's
  - used 64-bit data blocks with 128-bit key
- then redeveloped as a commercial cipher with input from NSA and others
- in 1973 NBS issued request for proposals for a national cipher standard
- IBM submitted their revised Lucifer which was eventually accepted as the DES

## **DES Design Controversy**

- although DES standard is public
- was considerable controversy over design
  - in choice of 56-bit key (vs Lucifer 128-bit)
  - and because design criteria were classified
- subsequent events and public analysis show in fact design was appropriate
- use of DES has flourished

- especially in financial applications
- still standardised for legacy application use



### Initial Permutation IP

- first step of the data computation
- IP reorders the input data bits
- even bits to LH half, odd bits to RH half
- quite regular in structure (easy in h/w)

➤ example:

IP(675a6967 5e5a6b5a) = (ffb2194d 004df6fb)

## DES Round Structure

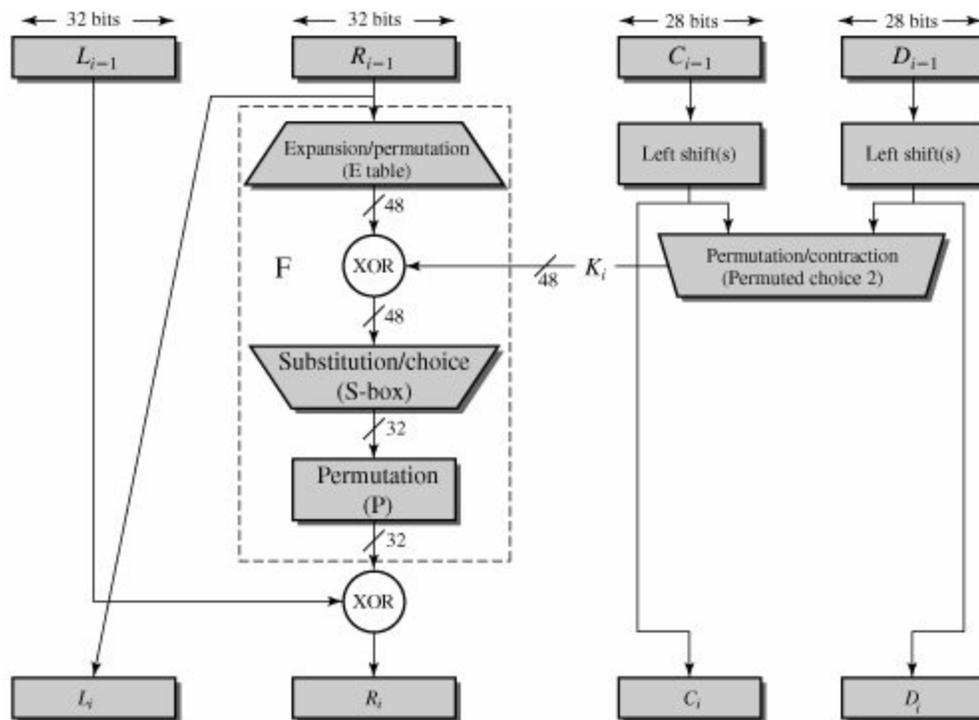
### Details of Single Round

Figure 3.5 shows the internal structure of a single round. Again, begin by focusing on the left-hand side of the diagram. The left and right halves of each 64-bit intermediate value are treated as separate 32-bit quantities, labeled L (left) and R (right). As in any classic Feistel cipher, the overall processing at each round can be summarized in the following formulas:

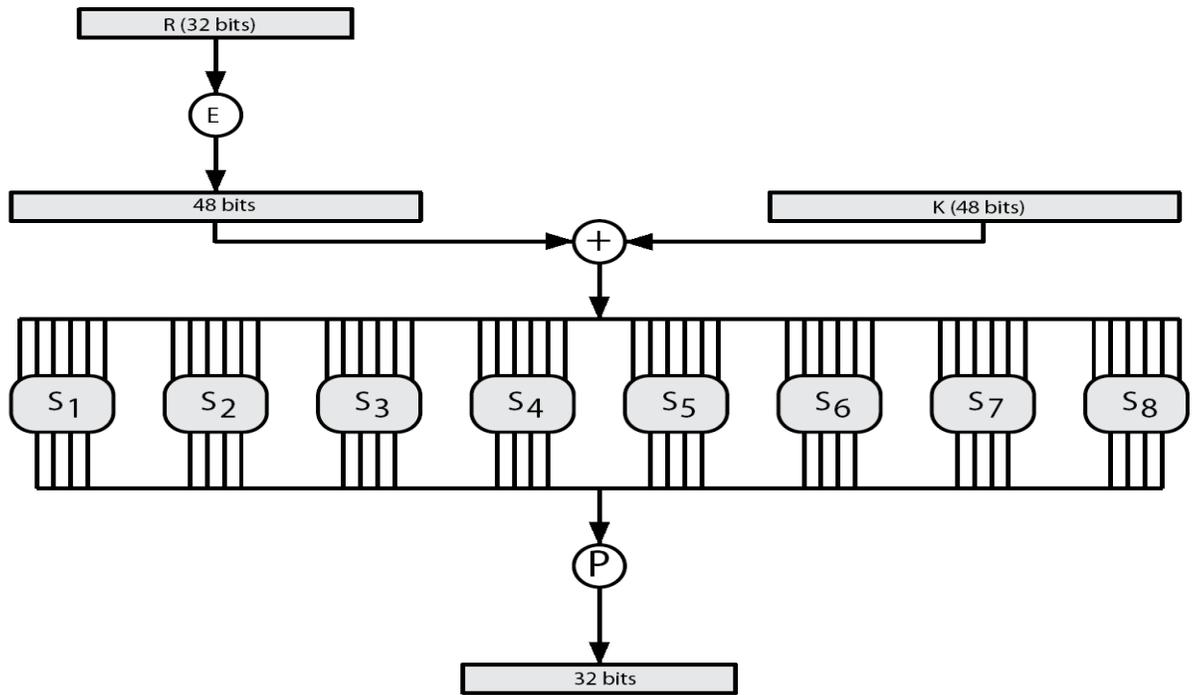
$$L_i = R_{i-1}$$

$$R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$$

Figure 3.5. Single Round of DES Algorithm



➤ uses two 32-bit L & R halves



➤ as for any Feistel cipher can describe as:

$$L_i = R_{i-1}$$

$$R_i = L_{i-1} \oplus F(R_{i-1}, K_i)$$

➤  $F$  takes 32-bit  $R$  half and 48-bit subkey:

- expands  $R$  to 48-bits using perm  $E$
- adds to subkey using XOR
- passes through 8 S-boxes to get 32-bit result

finally permutes using 32-bit perm  $P$

Figure 3.6. Calculation of  $F(R, K)$

### Substitution Boxes $S$

➤ have eight S-boxes which map 6 to 4 bits

➤ each S-box is actually 4 little 4 bit boxes

- outer bits 1 & 6 (**row** bits) select one row of 4

- inner bits 2-5 (**col** bits) are substituted
- result is 8 lots of 4 bits, or 32 bits
- row selection depends on both data & key
  - feature known as autoclaving (autokeying)
- example:
  - $S(18\ 09\ 12\ 3d\ 11\ 17\ 38\ 39) = 5fd25e03$

### DES Key Schedule

- forms subkeys used in each round
  - initial permutation of the key (PC1) which selects 56-bits in two 28-bit halves
  - 16 stages consisting of:
    - rotating **each half** separately either 1 or 2 places depending on the **key rotation schedule K**
    - selecting 24-bits from each half & permuting them by PC2 for use in round function F

note practical use issues in h/w vs s/w

### DES Decryption

- decrypt must unwind steps of data computation
- with Feistel design, do encryption steps again using subkeys in reverse order (SK16 ... SK1)
  - IP undoes final FP step of encryption
  - 1st round with SK16 undoes 16th encrypt round
  - 16th round with SK1 undoes 1st encrypt round

- then final FP undoes initial encryption IP
- thus recovering original data value

### **Avalanche Effect**

- key desirable property of encryption alg
- where a change of **one** input or key bit results in changing approx **half** output bits
- making attempts to “home-in” by guessing keys impossible
- DES exhibits strong avalanche

### **Strength of DES – Key Size**

- 56-bit keys have  $2^{56} = 7.2 \times 10^{16}$  values
- brute force search looks hard
- recent advances have shown is possible
  - in 1997 on Internet in a few months
  - in 1998 on dedicated h/w (EFF) in a few days
  - in 1999 above combined in 22hrs!
- still must be able to recognize plaintext
- must now consider alternatives to DES

### **Strength of DES – Analytic Attacks**

- now have several analytic attacks on DES
- these utilise some deep structure of the cipher
  - by gathering information about encryptions
  - can eventually recover some/all of the sub-key bits

- if necessary then exhaustively search for the rest
- generally these are statistical attacks
- include
  - differential cryptanalysis
  - linear cryptanalysis
  - related key attacks

### **Strength of DES – Timing Attacks**

- attacks actual implementation of cipher
- use knowledge of consequences of implementation to derive information about some/all subkey bits
- specifically use fact that calculations can take varying times depending on the value of the inputs to it
- particularly problematic on smartcards

### **Differential Cryptanalysis**

- one of the most significant recent (public) advances in cryptanalysis
- known by NSA in 70's of DES design
- Murphy, Biham & Shamir published in 90's
- powerful method to analyse block ciphers
- used to analyse most current block ciphers with varying degrees of success
- DES reasonably resistant to it, cf Lucifer

### **Differential Cryptanalysis**

- a statistical attack against Feistel ciphers

- uses cipher structure not previously used
- design of S-P networks has output of function  $f$  influenced by both input & key
- hence cannot trace values back through cipher without knowing value of the key
- differential cryptanalysis compares two related pairs of encryptions

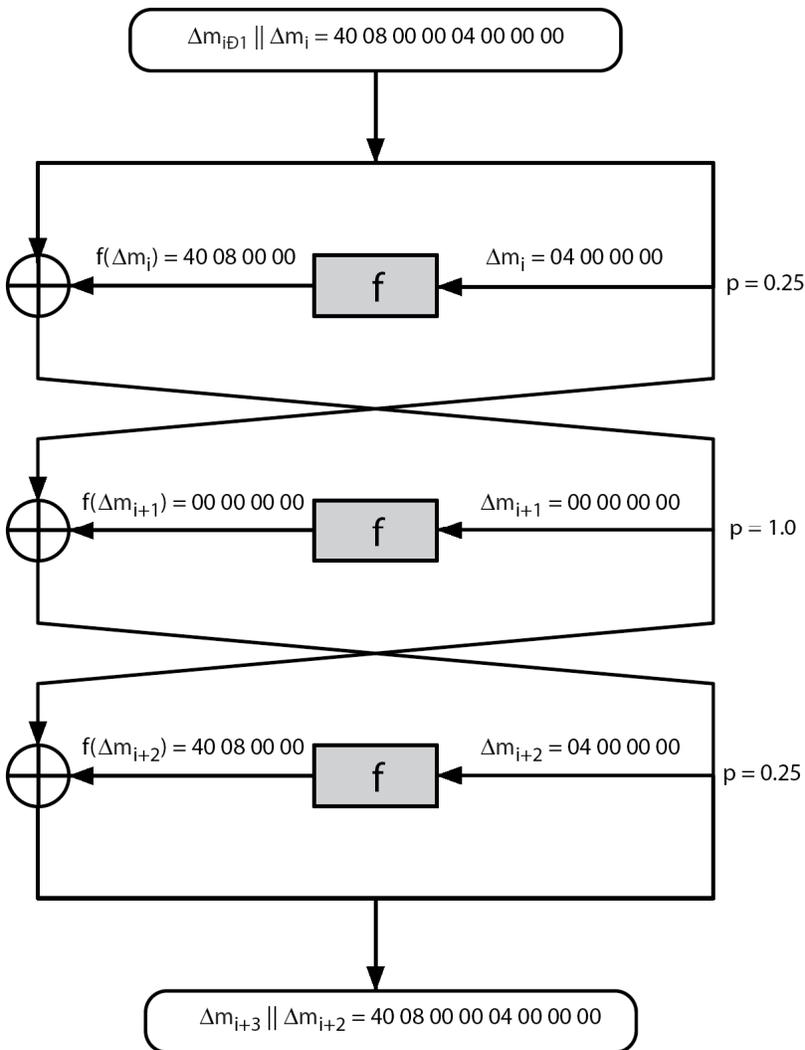
### Differential Cryptanalysis Compares Pairs of Encryptions

- with a known difference in the input
- searching for a known difference in output
- when same subkeys are used

$$\begin{aligned}
 \Delta m_{i+1} &= m_{i+1} \oplus m'_{i+1} \\
 &= [m_{i-1} \oplus f(m_i, K_i)] \oplus [m'_{i-1} \oplus f(m'_i, K_i)] \\
 &= \Delta m_{i-1} \oplus [f(m_i, K_i) \oplus f(m'_i, K_i)]
 \end{aligned}$$

### Differential Cryptanalysis

- have some input difference giving some output difference with probability  $p$
- if find instances of some higher probability input / output difference pairs occurring
- can infer subkey that was used in round
- then must iterate process over many rounds (with decreasing probabilities)
- perform attack by repeatedly encrypting plaintext pairs with known input XOR until obtain desired output XOR
- when found
  - if intermediate rounds match required XOR have a **right pair**
  - if not then have a **wrong pair**, relative ratio is S/N for attack



- can then deduce keys values for the rounds
  - right pairs suggest same key bits
  - wrong pairs give random values
- for large numbers of rounds, probability is so low that more pairs are required than exist with 64-bit inputs
- Biham and Shamir have shown how a 13-round iterated characteristic can break the full 16-round DES

## Linear Cryptanalysis

- another recent development
- also a statistical method
- must be iterated over rounds, with decreasing probabilities
- developed by Matsui et al in early 90's
- based on finding linear approximations
- can attack DES with  $2^{43}$  known plaintexts, easier but still in practise infeasible
- find linear approximations with prob  $p \neq 1/2$

$$P[i_1, i_2, \dots, i_a] \oplus C[j_1, j_2, \dots, j_b] = K[k_1, k_2, \dots, k_c]$$

where  $i_a, j_b, k_c$  are bit locations in P, C, K

- gives linear equation for key bits
- get one key bit using max likelihood alg
- using a large number of trial encryptions
- effectiveness given by:  $|p - 1/2|$

## DES Design Criteria

1. No output bit of any S-box should be too close a linear function of the input bits. Specifically, if we select any output bit and any subset of the six input bits, the fraction of inputs for which this output bit equals the XOR of these input bits should not be close to 0 or 1, but rather should be near 1/2.
2. Each row of an S-box (determined by a fixed value of the leftmost and rightmost input bits) should include all 16 possible output bit combinations.
3. If two inputs to an S-box differ in exactly one bit, the outputs must differ in at least two bits.

4. If two inputs to an S-box differ in the two middle bits exactly, the outputs must differ in at least two bits.
5. If two inputs to an S-box differ in their first two bits and are identical in their last two bits, the two outputs must not be the same.
6. For any nonzero 6-bit difference between inputs, no more than 8 of the 32 pairs of inputs exhibiting that difference may result in the same output difference.
7. This is a criterion similar to the previous one, but for the case of three S-boxes.

The criteria for the permutation P are as follows:

1. The four output bits from each S-box at round  $i$  are distributed so that two of them affect (provide input for) "middle bits" of round  $(i + 1)$  and the other two affect end bits. The two middle bits of input to an S-box are not shared with adjacent S-boxes. The end bits are the two left-hand bits and the two right-hand bits, which are shared with adjacent S-boxes.
2. The four output bits from each S-box affect six different S-boxes on the next round, and no two affect the same S-box.
3. For two S-boxes  $j, k$ , if an output bit from  $S_j$  affects a middle bit of  $S_k$  on the next round, then an output bit from  $S_k$  cannot affect a middle bit of  $S_j$ . This implies that for  $j = k$ , an output bit from  $S_j$  must not affect a middle bit of  $S_j$ .

These criteria are intended to increase the diffusion of the algorithm.

### **Block Cipher Design**

- basic principles still like Feistel's in 1970's
- number of rounds
  - more is better, exhaustive search best attack
- function  $f$ :
  - provides "confusion", is nonlinear, avalanche
  - have issues of how S-boxes are selected

- key schedule
  - complex subkey creation, key avalanche

### *Key Terms*

[avalanche effect](#)

[diffusion](#)

[product cipher](#)

[block cipher](#)

[Feistel cipher](#)

[reversible mapping](#)

[confusion](#)

[irreversible mapping](#)

[round](#)

[Data Encryption Standard \(DES\)](#)

[key](#)

[round function](#)

[differential cryptanalysis](#)

[linear cryptanalysis](#)

[subkey](#)

[permutation](#)

[substitution](#)

### *Review Questions*

- 3.1 Why is it important to study the Feistel cipher?
- 3.2 What is the difference between a block cipher and a stream cipher?
- 3.3 Why is it not practical to use an arbitrary reversible substitution cipher of the kind shown in [Table 3.1](#)?
- 3.4 What is a product cipher?
- 3.5 What is the difference between diffusion and confusion?
- 3.6 Which parameters and design choices determine the actual algorithm of a Feistel cipher?
- 3.7 What is the purpose of the S-boxes in DES?

3.8 Explain the avalanche effect.

3.9 What is the difference between differential and linear cryptanalysis?

#### **Chapter 4: Evaluation Criteria For AES**

- clear a replacement for DES was needed
  - have theoretical attacks that can break it
  - have demonstrated exhaustive key search attacks
- can use Triple-DES – but slow, has small blocks
- US NIST issued call for ciphers in 1997
- 15 candidates accepted in Jun 98
- 5 were shortlisted in Aug-99
- Rijndael was selected as the AES in Oct-2000
- issued as FIPS PUB 197 standard in Nov-2001

#### **AES Requirements**

- private key symmetric block cipher
- 128-bit data, 128/192/256-bit keys
- stronger & faster than Triple-DES
- active life of 20-30 years (+ archival use)
- provide full specification & design details
- both C & Java implementations
- NIST have released all submissions & unclassified analyses

## AES Evaluation Criteria

### ➤ initial criteria:

- Security: This refers to the effort required to cryptanalyze an algorithm. The emphasis in the evaluation was on the practicality of the attack. Because the minimum key size for AES is 128 bits, brute-force attacks with current and projected technology were considered impractical. Therefore, the emphasis, with respect to this point, is cryptanalysis other than a brute-force attack.
- Cost: NIST intends AES to be practical in a wide range of applications. Accordingly, AES must have high computational efficiency, so as to be usable in high-speed applications, such as broadband links.
- Algorithm and implementation characteristics: This category includes a variety of considerations, including flexibility; suitability for a variety of hardware and software implementations; and simplicity, which will make an analysis of security more straightforward.

### ➤ final criteria

- General security: To assess general security, NIST relied on the public security analysis conducted by the cryptographic community. During the course of the three-year evaluation process, a number of cryptographers published their analyses of the strengths and weaknesses of the various candidates. There was particular emphasis on analyzing the candidates with respect to known attacks, such as differential and linear cryptanalysis. However, compared to the analysis of DES, the amount of time and the number of cryptographers devoted to analyzing Rijndael are quite limited. Now that a single AES cipher has been chosen, we can expect to see a more extensive security analysis by the cryptographic community.
- Software implementations: The principal concerns in this category are execution speed, performance across a variety of platforms, and variation of speed with key size.
- Restricted-space environments: In some applications, such as smart cards, relatively small amounts of random-access memory (RAM) and/or read-only

memory (ROM) are available for such purposes as code storage (generally in ROM); representation of data objects such as S-boxes (which could be stored in ROM or RAM, depending on whether pre-computation or Boolean representation is used); and subkey storage (in RAM).

- **Hardware implementations:** Like software, hardware implementations can be optimized for speed or for size. However, in the case of hardware, size translates much more directly into cost than is usually the case for software implementations. Doubling the size of an encryption program may make little difference on a general-purpose computer with a large memory, but doubling the area used in a hardware device typically more than doubles the cost of the device.
- **Attacks on implementations:** The criterion of general security, discussed in the first bullet, is concerned with cryptanalytic attacks that exploit mathematical properties of the algorithms. There is another class of attacks that use physical measurements conducted during algorithm execution to gather information about quantities such as keys. Such attacks exploit a combination of intrinsic algorithm characteristics and implementation-dependent features. Examples of such attacks are timing attacks and power analysis. Timing attacks are described in [Chapter 3](#). The basic idea behind power analysis [[KOCH98](#), [BIHA00](#)] is the observation that the power consumed by a smart card at any particular time during the cryptographic operation is related to the instruction being executed and to the data being processed. For example, multiplication consumes more power than addition, and writing 1s consumes more power than writing 0s.
- **Encryption versus decryption:** This criterion deals with several issues related to considerations of both encryption and decryption. If the encryption and decryption algorithms differ, then extra space is needed for the decryption. Also, whether the two algorithms are the same or not, there may be timing differences between encryption and decryption.
- **Key agility:** Key agility refers to the ability to change keys quickly and with a minimum of resources. This includes both subkey computation and the ability to switch between different ongoing security associations when subkeys may already be available.

- Other versatility and flexibility: [[NECH00](#)] indicates two areas that fall into this category. Parameter flexibility includes ease of support for other key and block sizes and ease of increasing the number of rounds in order to cope with newly discovered attacks. Implementation flexibility refers to the possibility of optimizing cipher elements for particular environments.
- Potential for instruction-level parallelism: This criterion refers to the ability to exploit ILP features in current and future processors.

### **AES Shortlist**

- after testing and evaluation, shortlist in Aug-99:
  - MARS (IBM) - complex, fast, high security margin
  - RC6 (USA) - v. simple, v. fast, low security margin
  - Rijndael (Belgium) - clean, fast, good security margin
  - Serpent (Euro) - slow, clean, v. high security margin
  - Twofish (USA) - complex, v. fast, high security margin
- then subject to further analysis & comment
- saw contrast between algorithms with
  - few complex rounds verses many simple rounds
  - which refined existing ciphers verses new proposals

### **The AES Cipher**

The Rijndael proposal for AES defined a cipher in which the block length and the key length can be independently specified to be 128, 192, or 256 bits. The AES specification uses the same three key size alternatives but limits the block length to 128 bits. A number of AES parameters depend on the key length ([Table 5.3](#)). In the description of this section, we assume a key length of 128 bits, which is likely to be the one most commonly implemented.

Table 5.3. AES Parameters

Key size (words/bytes/bits)	4/16/128	6/24/192	8/32/256
Plaintext block size (words/bytes/bits)	4/16/128	4/16/128	4/16/128
Number of rounds	10	12	14
Round key size (words/bytes/bits)	4/16/128	4/16/128	4/16/128
Expanded key size (words/bytes)	44/176	52/208	60/240

Rijndael was designed to have the following characteristics:

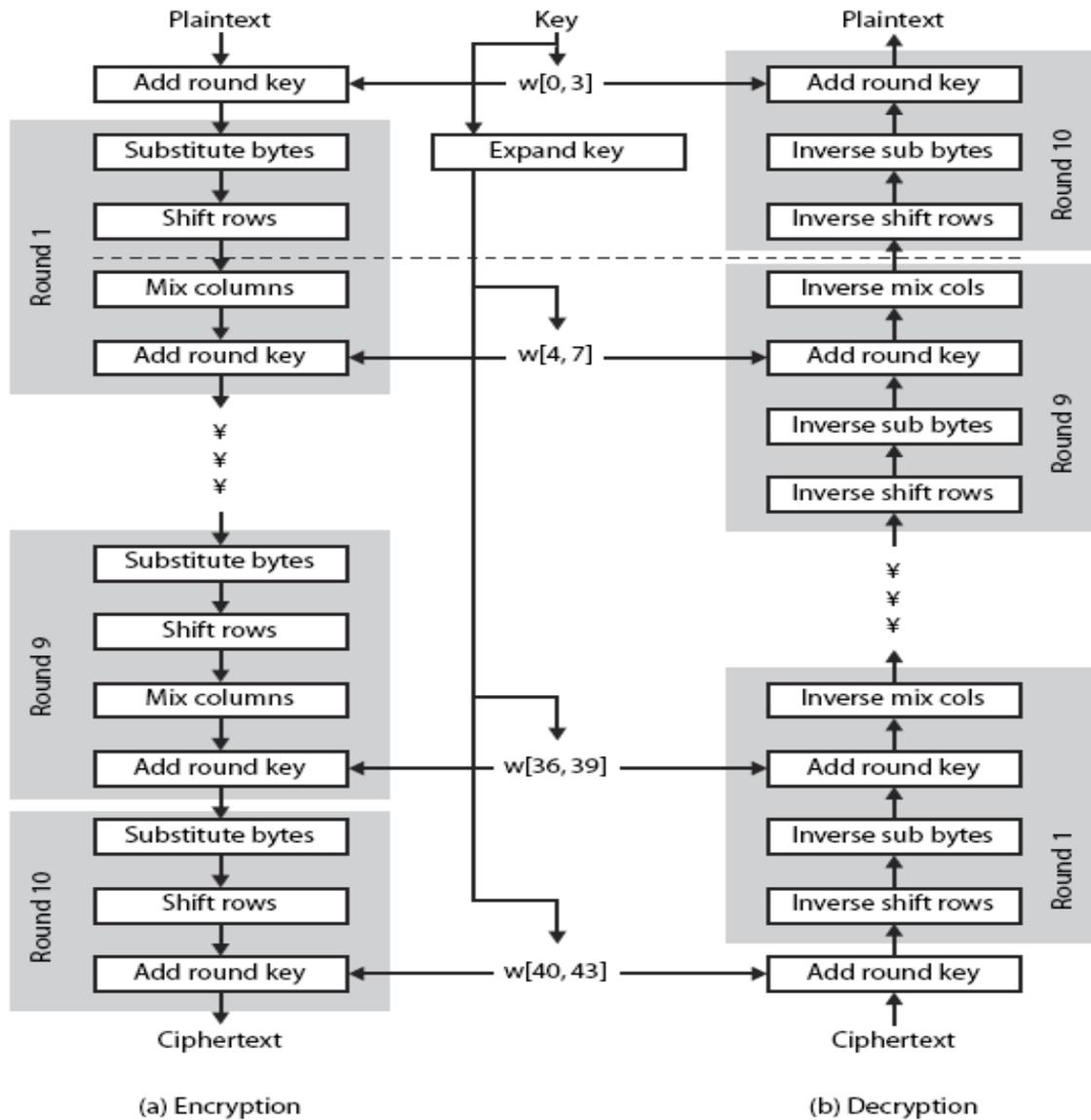
- Resistance against all known attacks
- Speed and code compactness on a wide range of platforms
- Design simplicity

Figure AES Encryption and Decryption

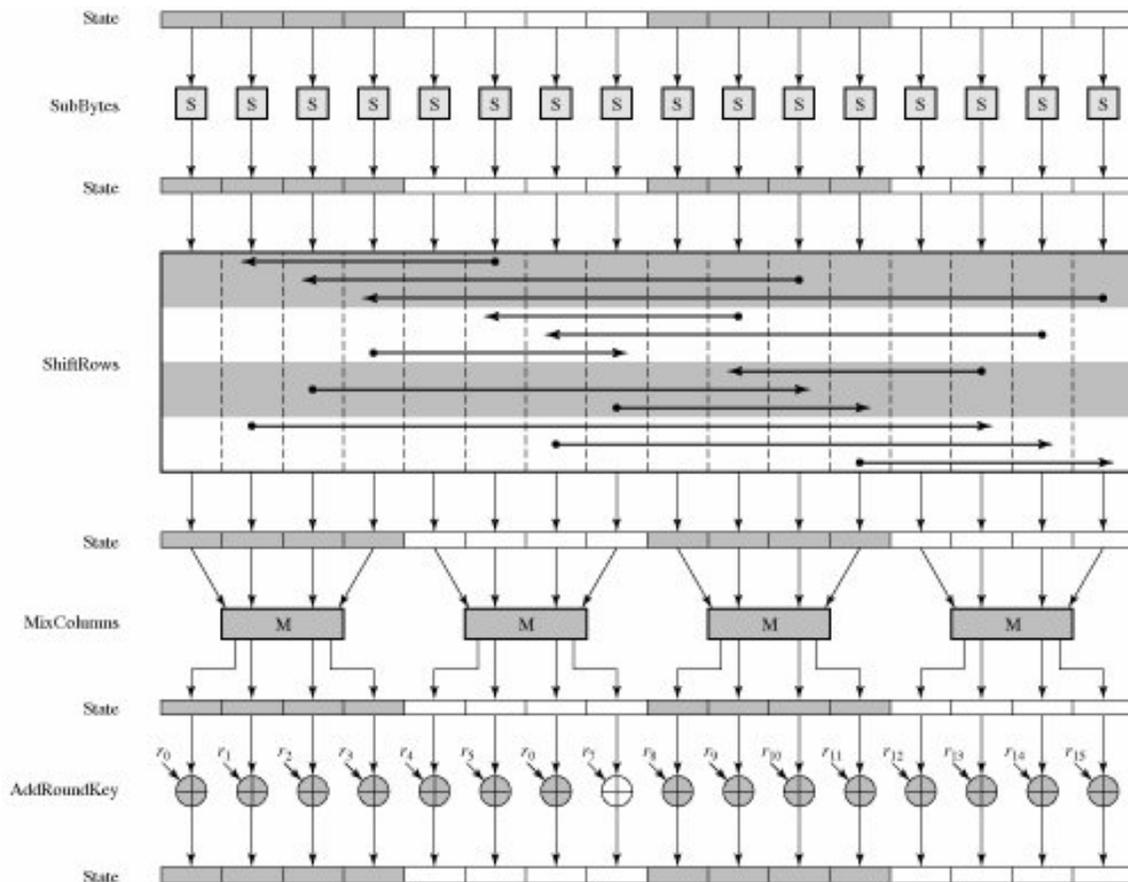
Before delving into details, we can make several comments about the overall AES structure:

1. One noteworthy feature of this structure is that it is not a Feistel structure. Recall that in the classic Feistel structure, half of the data block is used to modify the other half of the data block, and then the halves are swapped. Two of the AES finalists, including Rijndael, do not use a Feistel structure but process the entire data block in parallel during each round using substitutions and permutation.
2. The key that is provided as input is expanded into an array of forty-four 32-bit words,  $w[i]$ . Four distinct words (128 bits) serve as a round key for each round; these are indicated in above figure
3. Four different stages are used, one of permutation and three of substitution:
  - Substitute bytes: Uses an S-box to perform a byte-by-byte substitution of the block
  - ShiftRows: A simple permutation
  - MixColumns: A substitution that makes use of arithmetic over  $GF(2^8)$

- AddRoundKey: A simple bitwise XOR of the current block with a portion of the expanded key



4. The structure is quite simple. For both encryption and decryption, the cipher begins with an AddRoundKey stage, followed by nine rounds that each includes all four stages, followed by a tenth round of three stages. The figure below depicts the structure of a full encryption round.



5. Only the AddRoundKey stage makes use of the key. For this reason, the cipher begins and ends with an AddRoundKey stage. Any other stage, applied at the beginning or end, is reversible without knowledge of the key and so would add no security.
6. The AddRoundKey stage is, in effect, a form of Vernam cipher and by itself would not be formidable. The other three stages together provide confusion, diffusion, and nonlinearity, but by themselves would provide no security because they do not use the key. We can view the cipher as alternating operations of XOR encryption (AddRoundKey) of a block, followed by scrambling of the block (the other three stages), followed by XOR encryption, and so on. This scheme is both efficient and highly secure.
7. Each stage is easily reversible. For the Substitute Byte, ShiftRows, and MixColumns stages, an inverse function is used in the decryption algorithm. For the AddRoundKey

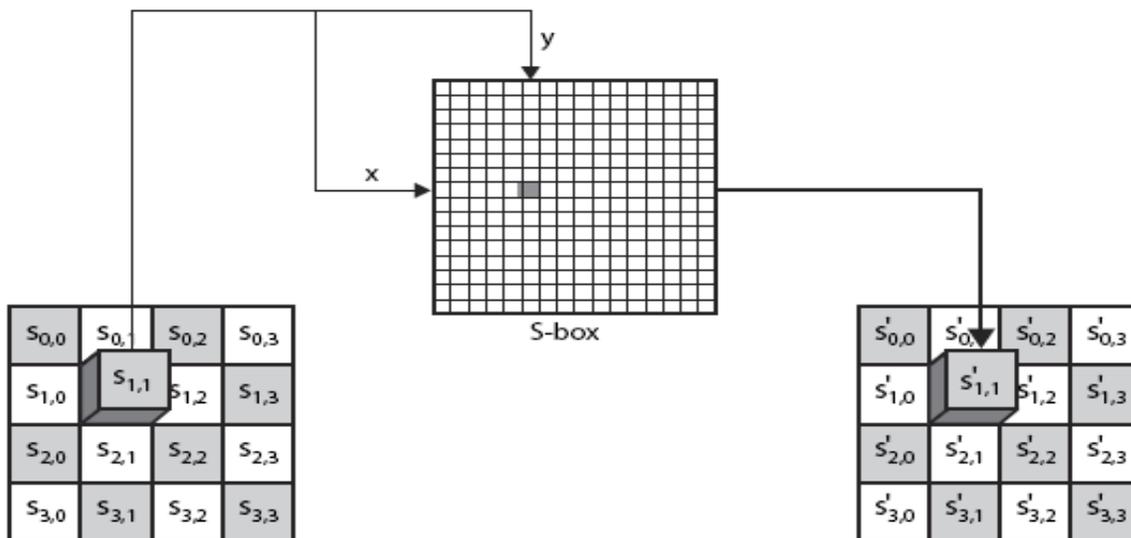
stage, the inverse is achieved by XORing the same round key to the block, using the

result that  $A \oplus A \oplus B = B$ .

8. As with most block ciphers, the decryption algorithm makes use of the expanded key in reverse order. However, the decryption algorithm is not identical to the encryption algorithm. This is a consequence of the particular structure of AES.
9. Once it is established that all four stages are reversible, it is easy to verify that decryption does recover the plaintext.
10. The final round of both encryption and decryption consists of only three stages. Again, this is a consequence of the particular structure of AES and is required to make the cipher reversible.

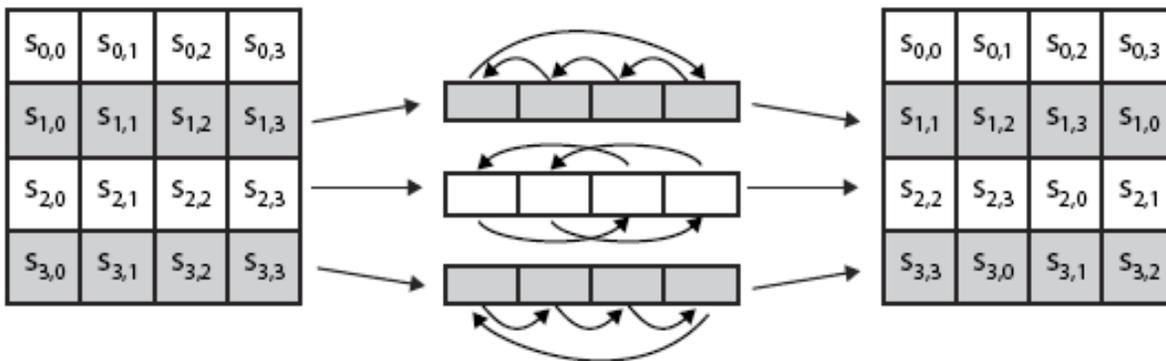
### Byte Substitution

- a simple substitution of each byte
- uses one table of 16x16 bytes containing a permutation of all 256 8-bit values
- each byte of state is replaced by byte indexed by row (left 4-bits) & column (right 4-bits)
  - eg. byte {95} is replaced by byte in row 9 column 5
  - which has value {2A}
- S-box constructed using defined transformation of values in  $GF(2^8)$
- designed to be resistant to all known attacks



## Shift Rows

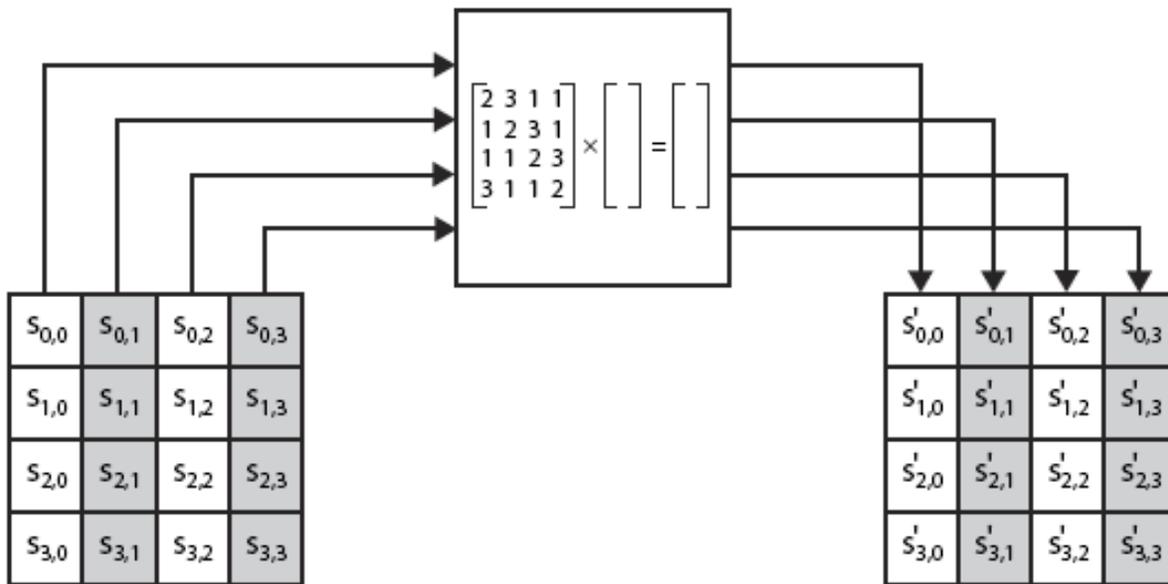
- a circular byte shift in each each
  - 1<sup>st</sup> row is unchanged
  - 2<sup>nd</sup> row does 1 byte circular shift to left
  - 3<sup>rd</sup> row does 2 byte circular shift to left
  - 4<sup>th</sup> row does 3 byte circular shift to left
- decrypt inverts using shifts to right
- since state is processed by columns, this step permutes bytes between the columns



## Mix Columns

- each column is processed separately
- each byte is replaced by a value dependent on all 4 bytes in the column
- effectively a matrix multiplication in  $GF(2^8)$  using prime poly  $m(x) = x^8 + x^4 + x^3 + x + 1$

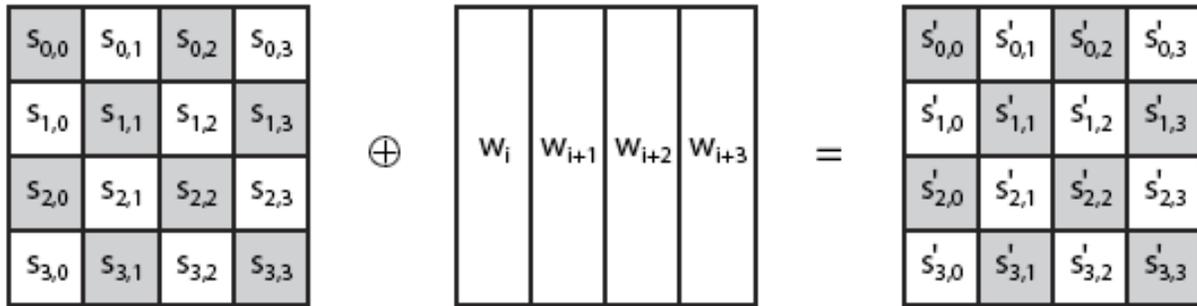
$$\begin{bmatrix} 02 & 03 & 01 & 01 \\ 01 & 02 & 03 & 01 \\ 01 & 01 & 02 & 03 \\ 03 & 01 & 01 & 02 \end{bmatrix}
 \begin{bmatrix} s_{0,0} & s_{0,1} & s_{0,2} & s_{0,3} \\ s_{1,0} & s_{1,1} & s_{1,2} & s_{1,3} \\ s_{2,0} & s_{2,1} & s_{2,2} & s_{2,3} \\ s_{3,0} & s_{3,1} & s_{3,2} & s_{3,3} \end{bmatrix}
 =
 \begin{bmatrix} \dot{s}_{0,0} & \dot{s}_{0,1} & \dot{s}_{0,2} & \dot{s}_{0,3} \\ \dot{s}_{1,0} & \dot{s}_{1,1} & \dot{s}_{1,2} & \dot{s}_{1,3} \\ \dot{s}_{2,0} & \dot{s}_{2,1} & \dot{s}_{2,2} & \dot{s}_{2,3} \\ \dot{s}_{3,0} & \dot{s}_{3,1} & \dot{s}_{3,2} & \dot{s}_{3,3} \end{bmatrix}$$



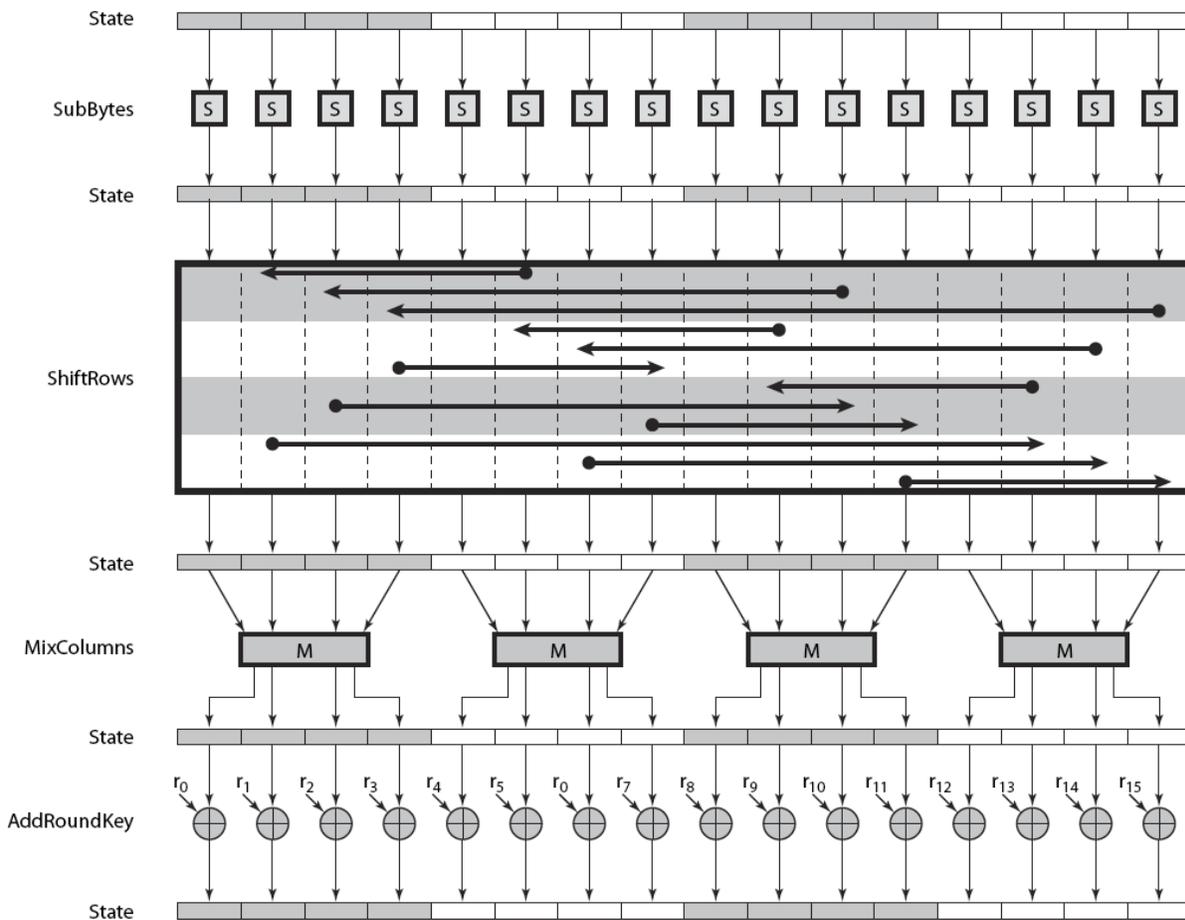
- can express each col as 4 equations
  - to derive each new byte in col
- decryption requires use of inverse matrix
  - with larger coefficients, hence a little harder
- have an alternate characterisation
  - each column a 4-term polynomial
  - with coefficients in  $GF(2^8)$
  - and polynomials multiplied modulo  $(x^4+1)$

### Add Round Key

- XOR state with 128-bits of the round key
- again processed by column (though effectively a series of byte operations)
- inverse for decryption identical
  - since XOR own inverse, with reversed keys
- designed to be as simple as possible
  - a form of Vernam cipher on expanded key
  - requires other stages for complexity / security



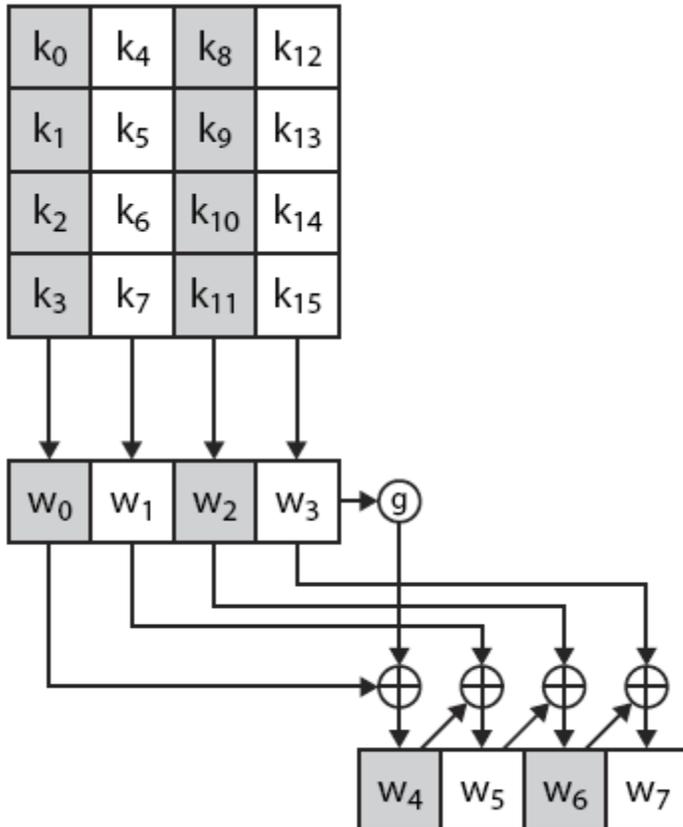
### AES Round



### AES Key Expansion

- takes 128-bit (16-byte) key and expands into array of 44/52/60 32-bit words
- start by copying key into first 4 words

- then loop creating words that depend on values in previous & 4 places back
  - in 3 of 4 cases just XOR these together
  - 1<sup>st</sup> word in 4 has rotate + S-box + XOR round constant on previous, before XOR 4<sup>th</sup> back



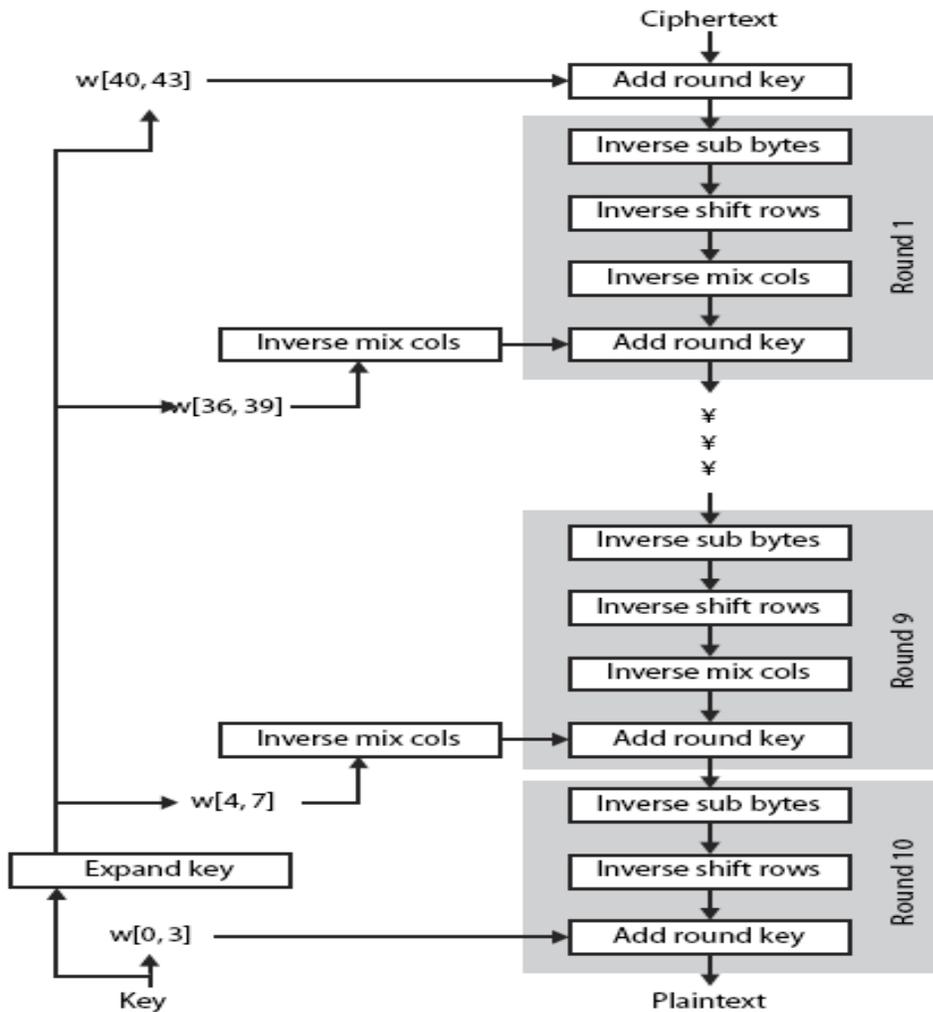
### Key Expansion Rationale

- designed to resist known attacks
- design criteria included
  - knowing part key insufficient to find many more
  - invertible transformation
  - fast on wide range of CPU's
  - use round constants to break symmetry
  - diffuse key bits into round keys
  - enough non-linearity to hinder analysis

- simplicity of description

## AES Decryption

- AES decryption is not identical to encryption since steps done in reverse
- but can define an equivalent inverse cipher with steps as for encryption
  - but using inverses of each step
  - with a different key schedule
- works since result is unchanged when
  - swap byte substitution & shift rows
  - swap mix columns & add (tweaked) round key



## Implementation Aspects

- can efficiently implement on 8-bit CPU
  - byte substitution works on bytes using a table of 256 entries
  - shift rows is simple byte shift
  - add round key works on byte XOR's
  - mix columns requires matrix multiply in  $GF(2^8)$  which works on byte values, can be simplified to use table lookups & byte XOR's
- can efficiently implement on 32-bit CPU
  - redefine steps to use 32-bit words
  - can precompute 4 tables of 256-words
  - then each column in each round can be computed using 4 table lookups + 4 XORs
  - at a cost of 4Kb to store tables
- designers believe this very efficient implementation was a key factor in its selection as the AES cipher

### *Key Terms*

Advanced Encryption Standard (AES)	power analysis
National Institute of Standards and Technology (NIST)	Rijndael
	S-box

### *Review Questions*

- 1 What was the original set of criteria used by NIST to evaluate candidate AES ciphers?
- 2 What was the final set of criteria used by NIST to evaluate candidate AES ciphers?
- 3 What is power analysis?
- 4 What is the difference between Rijndael and AES?

- 5 What is the purpose of the State array?
- 6 How is the S-box constructed?
- 7 Briefly describe SubBytes.
- 8 Briefly describe ShiftRows.
- 9 How many bytes in State are affected by ShiftRows?
- 10 Briefly describe MixColumns.
- 11 Briefly describe AddRoundKey.
- 12 Briefly describe the key expansion algorithm.
- 13 What is the difference between SubBytes and SubWord?
- 14 What is the difference between ShiftRows and RotWord?
- 15 What is the difference between the AES decryption algorithm and the equivalent inverse cipher?

### **Chapter 5: Multiple Encryption & DES**

- clear a replacement for DES was needed
  - theoretical attacks that can break it
  - demonstrated exhaustive key search attacks
- AES is a new cipher alternative
- prior to this alternative was to use multiple encryption with DES implementations

- Triple-DES is the chosen form

### **Double-DES?**

- could use 2 DES encrypts on each block
  - $C = E_{K2}(E_{K1}(P))$
- issue of reduction to single stage
- and have “meet-in-the-middle” attack
  - works whenever use a cipher twice
  - since  $X = E_{K1}(P) = D_{K2}(C)$
  - attack by encrypting P with all keys and store
  - then decrypt C with keys and match X value
  - can show takes  $O(2^{56})$  steps

### **Triple-DES with Two-Keys**

- hence must use 3 encryptions
  - would seem to need 3 distinct keys
- but can use 2 keys with E-D-E sequence
  - $C = E_{K1}(D_{K2}(E_{K1}(P)))$
  - nb encrypt & decrypt equivalent in security
  - if  $K1=K2$  then can work with single DES
- standardized in ANSI X9.17 & ISO8732
- no current known practical attacks

### **Triple-DES with Three-Keys**

- although are no practical attacks on two-key Triple-DES have some indications
- can use Triple-DES with Three-Keys to avoid even these
  - $C = E_{K3}(D_{K2}(E_{K1}(P)))$
- has been adopted by some Internet applications, eg PGP, S/MIME

## Modes of Operation

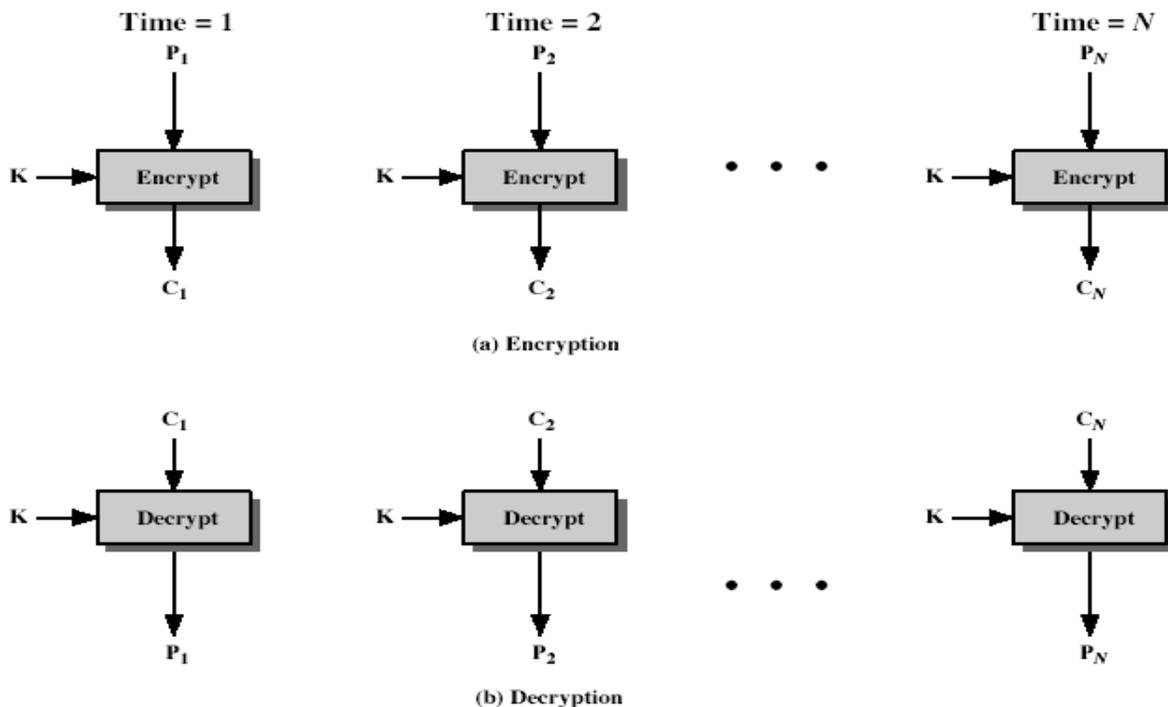
- block ciphers encrypt fixed size blocks
  - eg. DES encrypts 64-bit blocks with 56-bit key
- need some way to en/decrypt arbitrary amounts of data in practise
- **ANSI X3.106-1983 Modes of Use** (now FIPS 81) defines 4 possible modes
- subsequently 5 defined for AES & DES
- have **block** and **stream** modes

## Electronic Codebook Book (ECB)

- message is broken into independent blocks which are encrypted
- each block is a value which is substituted, like a codebook, hence name
- each block is encoded independently of the other blocks

$$C_i = \text{DES}_{K1}(P_i)$$

uses: secure transmission of single values



## Advantages and Limitations of ECB

- message repetitions may show in ciphertext
  - if aligned with message block
  - particularly with data such graphics
  - or with messages that change very little, which become a code-book analysis problem
- weakness is due to the encrypted message blocks being independent
- main use is sending a few blocks of data

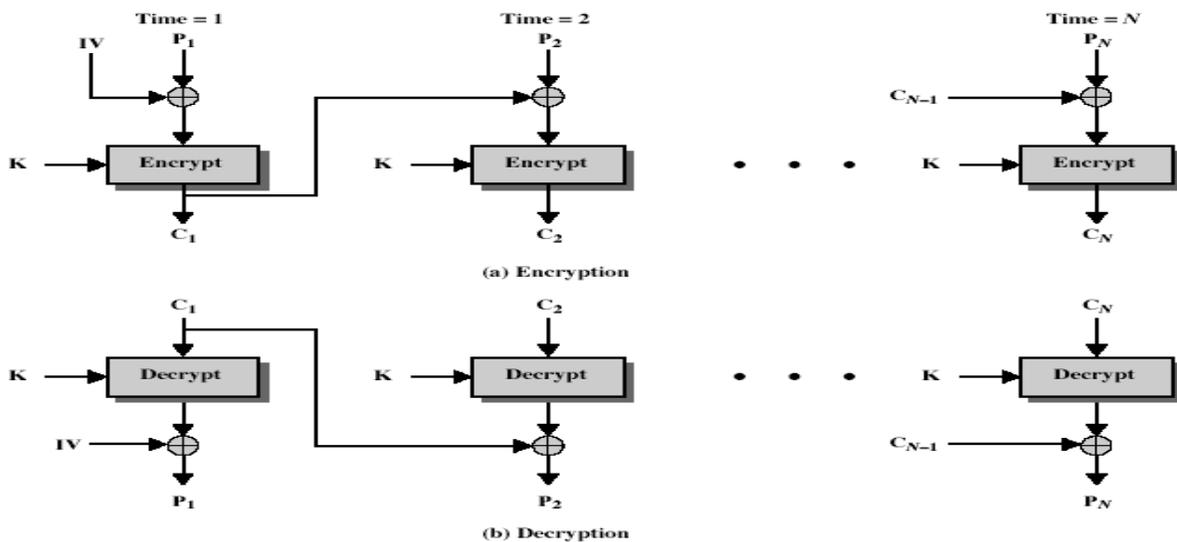
## Cipher Block Chaining (CBC)

- message is broken into blocks
- linked together in encryption operation
- each previous cipher blocks is chained with current plaintext block, hence name
- use Initial Vector (IV) to start process

$$C_i = \text{DES}_{K1}(P_i \text{ XOR } C_{i-1})$$

$$C_{-1} = \text{IV}$$

- uses: bulk data encryption, authentication



## Message Padding

- at end of message must handle a possible last short block
  - which is not as large as blocksize of cipher
  - pad either with known non-data value (eg nulls)
  - or pad last block along with count of pad size
    - eg. [ b1 b2 b3 0 0 0 5]
    - means have 3 data bytes, then 5 bytes pad+count
  - this may require an extra entire block over those in message
- there are other, more esoteric modes, which avoid the need for an extra block

## Advantages and Limitations of CBC

- a ciphertext block depends on **all** blocks before it
- any change to a block affects all following ciphertext blocks
- need **Initialization Vector (IV)**
  - which must be known to sender & receiver
  - if sent in clear, attacker can change bits of first block, and change IV to compensate
  - hence IV must either be a fixed value (as in EFTPOS)
  - or must be sent encrypted in ECB mode before rest of message

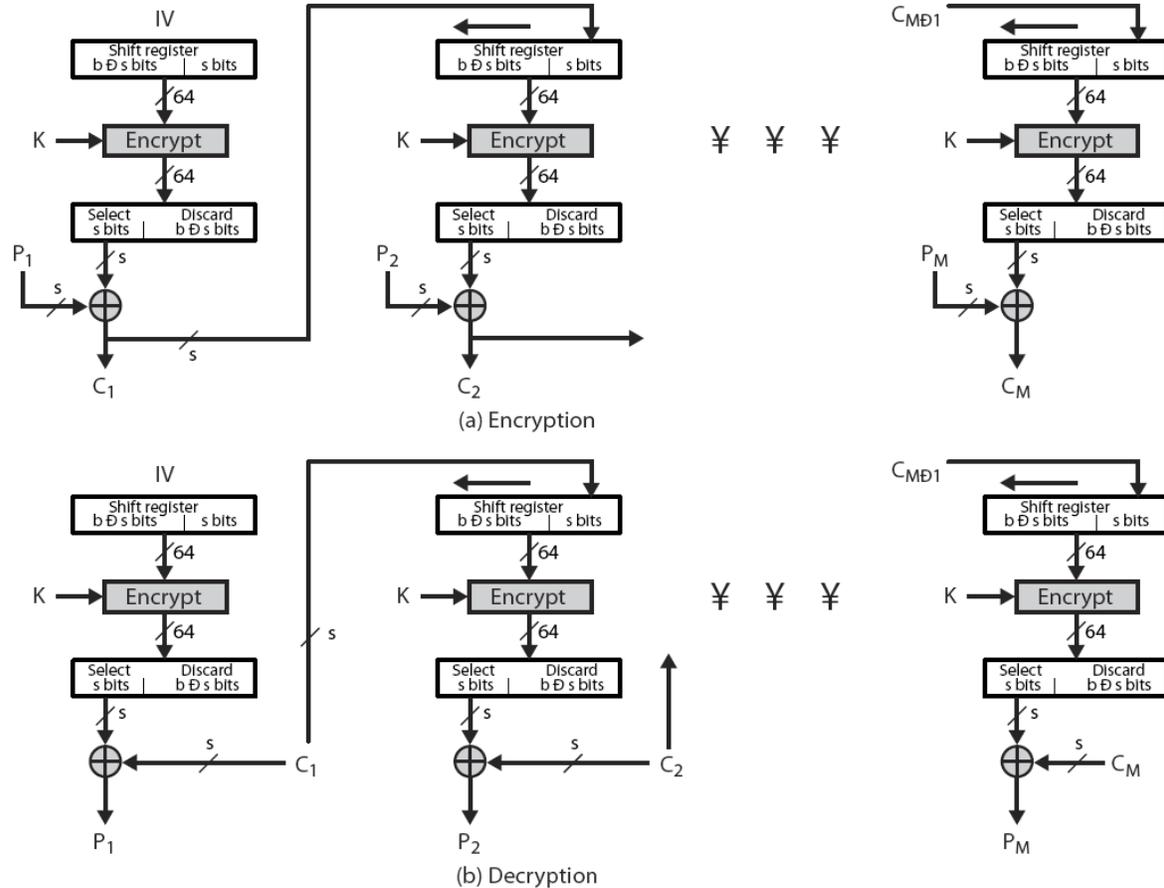
## Cipher FeedBack (CFB)

- message is treated as a stream of bits
- added to the output of the block cipher
- result is feed back for next stage (hence name)
- standard allows any number of bit (1,8, 64 or 128 etc) to be feed back
  - denoted CFB-1, CFB-8, CFB-64, CFB-128 etc
- most efficient to use all bits in block (64 or 128)

$$C_i = P_i \text{ XOR } \text{DES}_{K1}(C_{i-1})$$

$$C_{-1} = IV$$

- uses: stream data encryption, authentication



### Advantages and Limitations of CFB

- appropriate when data arrives in bits/bytes
- most common stream mode
- limitation is need to stall while do block encryption after every n-bits
- note that the block cipher is used in **encryption** mode at **both** ends
- errors propogate for several blocks after the error

### Output FeedBack (OFB)

- message is treated as a stream of bits

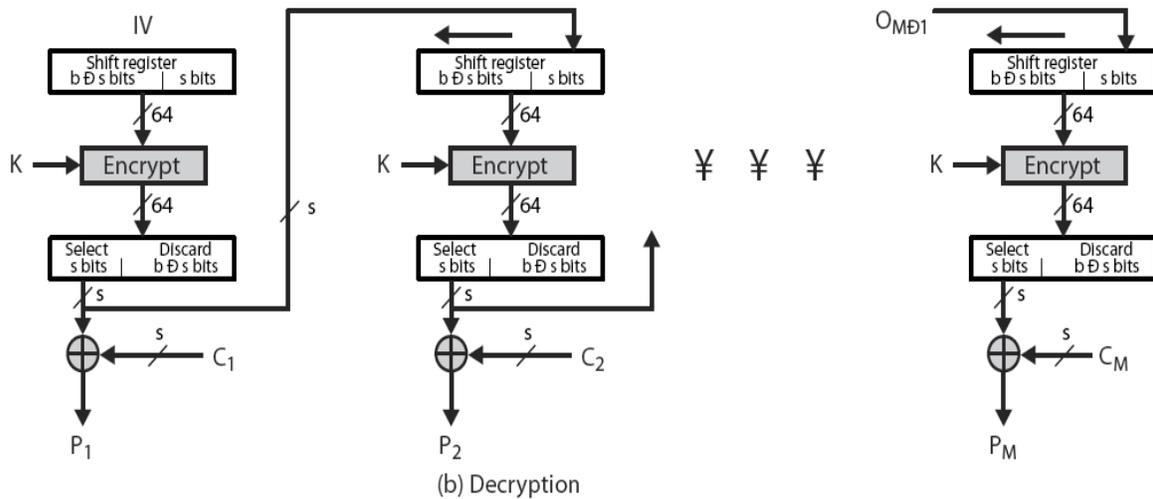
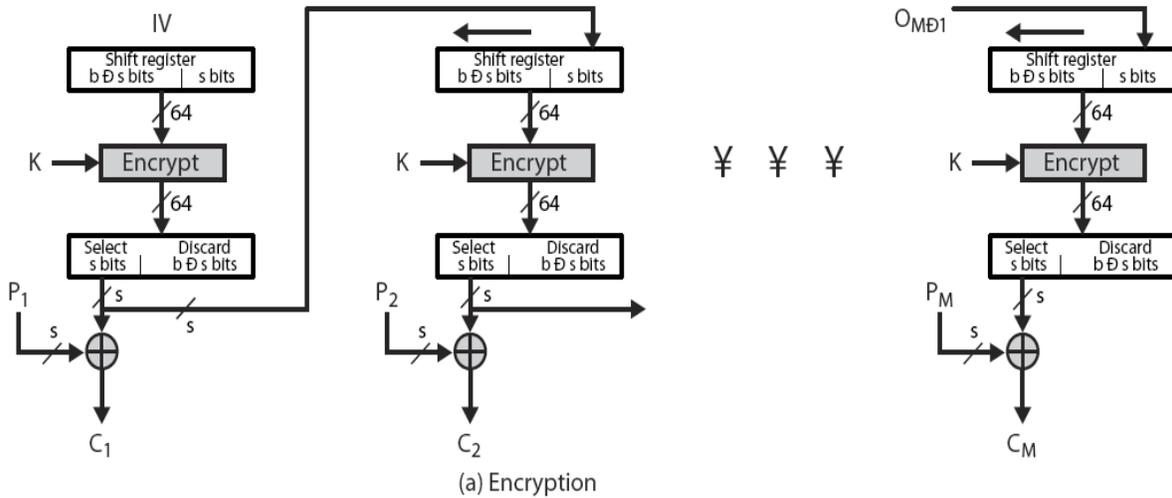
- output of cipher is added to message
- output is then feed back (hence name)
- feedback is independent of message
- can be computed in advance

$$C_i = P_i \text{ XOR } O_i$$

$$O_i = \text{DES}_{K1}(O_{i-1})$$

$$O_{-1} = \text{IV}$$

- uses: stream encryption on noisy channels



## Advantages and Limitations of OFB

- bit errors do not propagate
- more vulnerable to message stream modification
- a variation of a Vernam cipher
  - hence must **never** reuse the same sequence (key+IV)
- sender & receiver must remain in sync
- originally specified with m-bit feedback
- subsequent research has shown that only **full block feedback** (ie CFB-64 or CFB-128) should ever be used

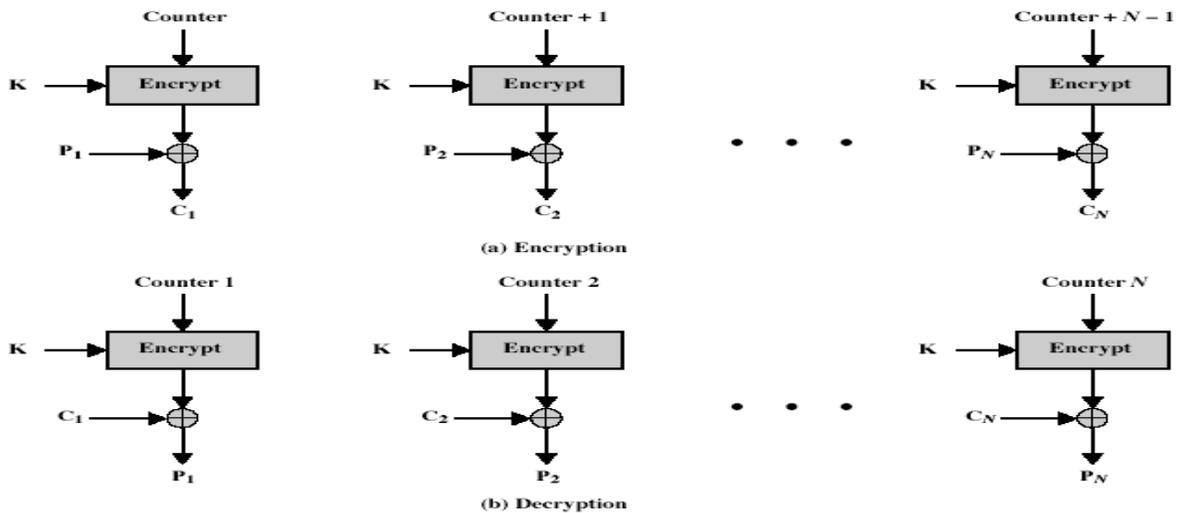
## Counter (CTR)

- a “new” mode, though proposed early on
- similar to OFB but encrypts counter value rather than any feedback value
- must have a different key & counter value for every plaintext block (never reused)

$$C_i = P_i \text{ XOR } O_i$$

$$O_i = \text{DES}_{K1}(i)$$

- uses: high-speed network encryptions



## Advantages and Limitations of CTR

- efficiency
  - can do parallel encryptions in h/w or s/w
  - can preprocess in advance of need
  - good for bursty high speed links
- random access to encrypted data blocks
- provable security (good as other modes)
- but must ensure never reuse key/counter values, otherwise could break (cf OFB)

### *Key Terms*

[Block cipher modes of operation](#)

[counter mode \(CTR\)](#)

[cipher block chaining mode \(CBC\)](#)

[electronic codebook mode \(ECB\)](#)

[cipher feedback mode \(CFB\)](#)

[output feedback mode \(OFB\)](#)

[meet-in-the-middle attack](#)

[Triple DES \(3DES\)](#)

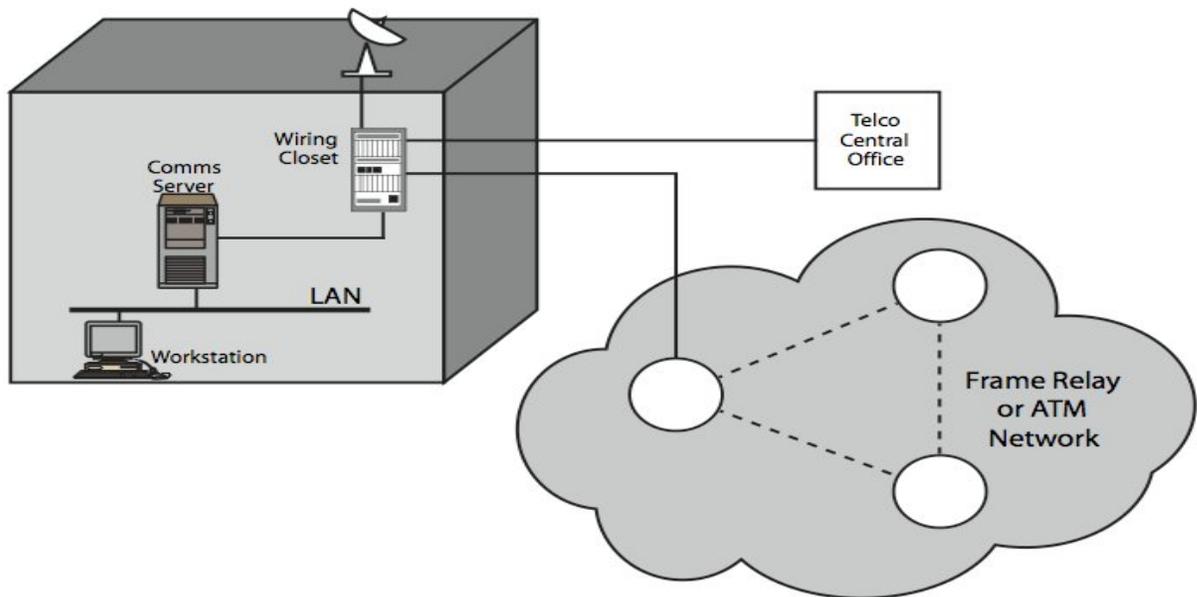
### *Review Questions*

- 1        What is triple encryption?
- 2        What is a meet-in-the-middle attack?
- 3        How many keys are used in triple encryption?
- 4        Why is the middle portion of 3DES a decryption rather than an encryption?
- 5        List important design considerations for a stream cipher.
- 6        Why is it not desirable to reuse a stream cipher key?

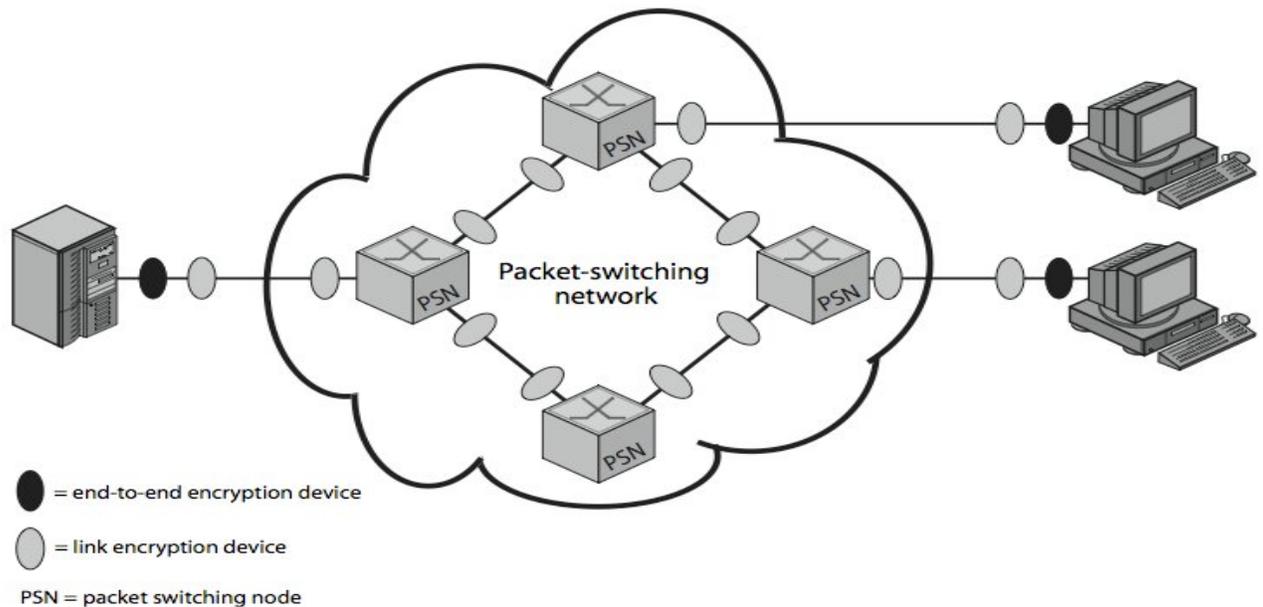
- 7 What primitive operations are used in RC4?
- 8 Why do some block cipher modes of operation only use encryption while others use both encryption and decryption?

## Chapter 6: Placement of Encryption

- traditionally symmetric encryption is used to provide message confidentiality



- have two major placement alternatives
- **link encryption**
- encryption occurs independently on every link
  - implies must decrypt traffic between links
  - requires many devices, but paired keys
- **end-to-end encryption**
- encryption occurs between original source and final destination
  - need devices at each end with shared keys

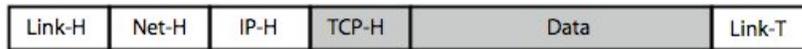


- when using end-to-end encryption must leave headers in clear
  - so network can correctly route information
- hence although contents protected, traffic pattern flows are not
- ideally want both at once
  - end-to-end protects data contents over entire path and provides authentication
  - link protects traffic flows from monitoring
- can place encryption function at various layers in OSI Reference Model
  - link encryption occurs at layers 1 or 2
  - end-to-end can occur at layers 3, 4, 6, 7
  - as move higher less information is encrypted but it is more secure though more complex with more entities and keys

## Encryption vs Protocol Level



(a) Application-Level Encryption (on links and at routers and gateways)

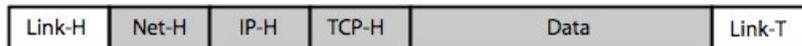


On links and at routers

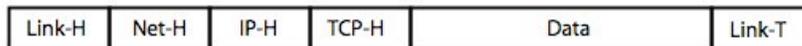


In gateways

(b) TCP-Level Encryption



On links



In routers and gateways

(c) Link-Level Encryption

Shading indicates encryption.

TCP-H	=	TCP header
IP-H	=	IP header
Net-H	=	Network-level header(e.g., X.25 packetheader, LLC header)
Link-H	=	Data link control protocolheader
Link-T	=	Data link control protocoltrailer

## Traffic Analysis

- Identities of partners
  - How frequently the partners are communicating
  - Message pattern, message length, or quantity of messages that suggest important information is being exchanged
  - The events that correlate with special conversations between particular partners
- is monitoring of communications flows between parties

- useful both in military & commercial spheres
- can also be used to create a covert channel
- link encryption obscures header details
  - but overall traffic volumes in networks and at end-points is still visible
- traffic padding can further obscure flows
  - but at cost of continuous traffic

### *Key Terms*

[Blum, Blum, Shub generator](#)

[master key](#)

[covert channel](#)

[nonce](#)

[deskewing](#)

[pseudorandom number generator \(PRNG\)](#)

[end-to-end encryption](#)

[session key](#)

[key distribution](#)

[skew](#)

[key distribution center \(KDC\)](#)

[traffic padding](#)

[linear congruential](#)

[true random number generator](#)

[link encryption](#)

[wiring closet](#)

### *Review Questions*

- 1 For a user workstation in a typical business environment, list potential locations for confidentiality attacks.
- 2 What is the difference between link and end-to-end encryption?
- 3 What types of information might be derived from a traffic analysis attack?

- 4      What is traffic padding and what is its purpose?
  
- 5      List ways in which secret keys can be distributed to two communicating parties.
  
- 6      What is the difference between a session key and a master key?
  
- 7      What is a nonce?